# Protocol I8H-MC-BDCL(d)

A Phase 2, Parallel, Comparator-Controlled Trial to Evaluate the Safety and Efficacy of LY3209590 in Insulin-Naïve Patients with Type 2 Diabetes Mellitus.

NCT04450394

Approval Date: 28-Oct-2020

# Title Page

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**Protocol Title:** A Phase 2, Parallel, Comparator-Controlled Trial to Evaluate the Safety and

Efficacy of LY3209590 in Insulin-Naïve Patients with Type 2 Diabetes Mellitus

Protocol Number: I8H-MC-BDCL

Amendment Number: d Compound: LY3209590

**Study Phase:** Phase 2

Short Title: A Study of LY3209590 in Participants with Type 2 Diabetes Mellitus

**Sponsor Name:** Eli Lilly and Company

**Legal Registered Address:** Indianapolis, Indiana USA 46285

Regulatory Agency Identifier Number(s)

IND: 129390

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Approval Date: Protocol Amendment (d) Electronically Signed and Approved by Lilly on date

provided below.

Lilly Medical Name and Contact Information will be provided separately.

Approval Date: 28-Oct-2020 GMT

# **Protocol Amendment Summary of Changes Table**

DOCUMENT HISTORY		
Document	Date	
Original Protocol	11-Dec-2019	
Amendment (a)	08-Apr-2020	
Amendment (b)	12-Jun-2020	
Amendment (c)	14-Aug-2020	•

#### Amendment [d]

This amendment is considered substantial based on the criteria set forth in Article 10(a) of Directive 2001/20/EC of the European Parliament and the Council of the European Union.

#### **Overall Rationale for the Amendment:**

The amendment provides information to reflect termination of the investigational medical device study arm evaluating the individualized accruing data algorithm (Algorithm 2) investigational device in the Phase 2 Study 18H-MC-BDCL (BDCL). Study BDCL will continue to evaluate dosing regimens of investigational medicinal product LY3209590, a long-acting insulin receptor agonist that is being developed as a basal insulin administered once-weekly for treatment of type 2 diabetes mellitus (T2DM). Study BDCL is evaluating individualized LY3209590 dosing strategies in insulin-naïve study participants with T2DM in the paper algorithm (Algorithm 1). Insulin degludec is an unblinded active comparator in this study.

Lilly is terminating the Algorithm 2 study arm due to the extent of data entry errors generated by patients and the impact these errors have on dosing recommendations from the investigational device Algorithm 2. These errors (missing, unchecked, or uncorrected by site verification) provide less than complete data set inputs that are submitted by the investigator into the Algorithm 2 dosing calculation. The limited data set inputs have revealed shortcomings in dosing calculation confounding factors for the limited number of Algorithm 2 dosing recommendations observed from a limited number of sites and participants during the early enrollment phase. In some cases, if the investigator had not applied clinical judgement to override the recommended dose, a serious adverse consequence on patient health and/or safety associated with the investigational device may have resulted.

Lilly recognized that the planned expansion to include all sites participating in the United States would increase both the number of participants utilizing the investigational device Algorithm 2 and the number of investigators providing oversite of the Algorithm 2 dosing recommendations. Based on the limited experience to date with Algorithm 2, it was unclear whether all potential issues had been identified or unforeseen future issues might impact the ability to complete the trial. It was concluded that study expansion could increase the risk for dosing errors and the possible identification of previously unidentified issues with Algorithm 2. Importantly, the continued use of investigator override could impair our ability to meet the scientific objectives of the study related to Algorithm 2. This amendment reflects taking the preventive action of terminating the Algorithm 2 investigational medical device trial arm of Study BDCL to prevent further potential patient safety issues and delay in the study.

Section # and Name	Description of Change	Brief Rationale
Throughout the document	Removal of language related to	Alignment of protocol for removal of
	Algorithm 2	Algorithm 2
Section 1.1. Synopsis	Change in number of	Based on the removal of Algorithm 2, the
Section 6.1. Study	participants and randomization	participant number was updated.
Intervention(s) Administered	ratio	
Section 9.2. Sample Size		
Determination		
Section 10.10. Appendix 10:		
Change to Study Procedures		
due to the COVID-19		
Pandemic or Natural		
Disasters		
Section 1.3. Schedule of	Addition of language for	Clarification that a triplicate ECG for insulin
Activities	footnote d	degludec is only required one time.
	Addition of language for	Clarification of the collection of 2 PK
	footnote e	samples is for LY3209590.
Section 6.1. Study	Addition of language for	Clarification for participants in Algorithm 2 to
Interventions(s)	participants transitioning from	proceed with study procedures for those
Administered	Algorithm 2	treated with LY3209590.
Section 8.4. Treatment of	Addition of procedural language	Clarification added to contact the sponsor in
Overdose		case of an accidental overdose.
Section 9.3. Populations for	Change in language related	Update of statistical analysis after removal of
Analysis	removal of Algorithm 2	Algorithm 2
Section 9.4.1. General		
Considerations		
9.4.2.2. Patient		
Characteristics		
Section 9.4.6.3.		
Hypoglycemia Episodes		
Section 10.3.3. Definition of	Removal of section related to	Clarification that UADE will not be
an Unanticipated Adverse	Algorithm 2	applicable after removal of Algorithm 2
Device Effect (UADE)		
Section 10.8. Appendix 8:	Addition of "Insulin Degludec"	Clarification that dosing guidance is available
Dosing Guidance for	to title	for LY3209590 and Insulin Degludec
LY3209590 and Insulin		
Degludec		
Section 10.10. Appendix 10:	Addition of language for	Clarification that sites must receive sponsor
Change to Study Procedures	Remote Visits	approval prior to implementing site mobile
due to the COVID-19		visits and visits by a sponsor organized home
Pandemic or Natural		nursing service provider to replace on-site
Disasters		visits.
Throughout the document	Minor editorial and document	These are minor changes; therefore, they have
	formatting revisions	not been summarized.

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# 1. Protocol Summary

# 1.1. Synopsis

**Protocol Title:** A Phase 2, Parallel, Comparator-Controlled Trial to Evaluate the Safety and Efficacy of LY3209590 in Insulin-Naïve Patients with Type 2 Diabetes Mellitus

**Short Title:** A Study of LY3209590 in Participants with Type 2 Diabetes Mellitus

#### **Rationale:**

This study will evaluate LY3209590 in insulin-naïve patients with type 2 diabetes mellitus (T2DM), compared with insulin degludec.

The study will last 26 weeks to have an adequate duration of exposure necessary to positively exclude any increase in ALT, as has been observed with a previous daily pegylated basal insulin lispro with a predominantly hepatic pharmacodynamic (PD) profile.

Insulin degludec is an unblinded active comparator in this study and will be used to compare the effects of LY3209590 on glycemic control, hypoglycemia, and weight gain with a daily basal insulin.

**Objectives and Endpoints** 

Objectives	Enducinto
Objectives	Endpoints
Primary	
To investigate the efficacy of LY3209590 compared with insulin degludec in study participants with T2DM	HbA1c change from baseline to Week 26
Secondary	
■ To investigate the efficacy of LY3209590 compared with insulin degludec in study participants with T2DM	<ul> <li>HbA1c change from baseline to Week 12</li> <li>Fasting glucose change from baseline to Weeks 12 and 26</li> </ul>
<ul> <li>Safety</li> <li>To investigate the safety of LY3209590 compared with insulin degludec in study participants with T2DM</li> </ul>	<ul> <li>Incidence and rate of hypoglycemia events during the treatment period</li> <li>Incidence of treatment-emergent serious adverse events</li> </ul>
Pharmacokinetics  To characterize the PK of LY3209590 and potential intrinsic and extrinsic factors in study participants with T2DM	Steady state AUC at Week 26

Abbreviations: AUC = area under the curve; HbA1c = hemoglobin A1c; PK = pharmacokinetics; T2DM = type 2 diabetes mellitus.

#### **Overall Design:**

Study I8H-MC-BDCL is a multicenter, randomized, open-label, parallel, comparator-controlled Phase 2 study with 3 study periods. The study is designed to evaluate the efficacy and safety of LY3209590 compared with insulin degludec in patients with T2DM treated with a stable dose of metformin (alone or in combination with a stable dose of a DPPIV inhibitor and/or a SGLT2 inhibitor) for at least 3 months prior to screening.

**Disclosure Statement**: This is a parallel, open-label, treatment study with 2 groups.

#### **Number of Participants:**

Approximately 250 participants will be randomly assigned to study interventions such that approximately 200 evaluable participants complete the study.

#### **Intervention Groups and Duration:**

Participants will be randomized in a 1:1 ratio to receive the interventions listed below:

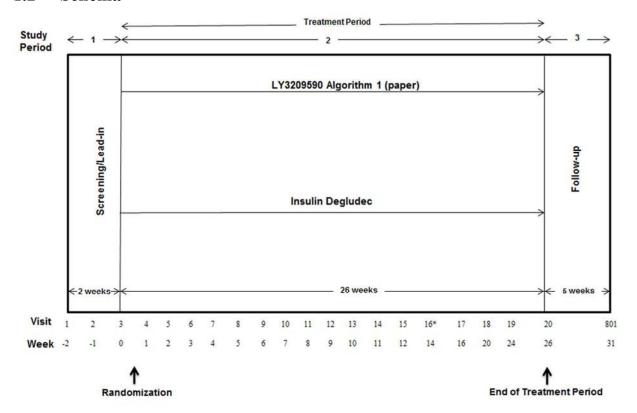
- LY3209590 subcutaneously weekly, dosed per Algorithm 1(Paper)
- Insulin degludec subcutaneously daily, dosed per a modified Riddle algorithm

The maximum total duration of study participation for each participant is up to 33 weeks, across the following study periods:

- Study Period 1: screening and lead-in period, approximately 2 weeks
- Study Period 2: treatment period, 26 weeks
- Study Period 3: safety follow-up period, 5 weeks

**Data Monitoring Committee: No** 

# 1.2 Schema



10

\*phone visit

# 1.3. Schedule of Activities (SoA)

Procedure	Peri Scr	een- and									Study eatm										Disc	arly ontinu- tion	Study Period 3 Follow -up	ET	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2 <sup>c</sup>	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	X			X			X						X		X		X	X	X	X	X	X	Minimum 8 hours
Informed consent	X																								
Inclusion and exclusion criteria	X																								
Randomiz- ation			X																						

Procedure	Stu Peri Scre ing Leac	od 1 een- and										Perio									Disc	arly ontinu- tion	Study Period 3 Follow -up	ET	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2°	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	Х			X			X						Х		X		X	X	X	X	X	X	Minimum 8 hours
												Cli	nical	Asse	ssmer	its									
Height	X																								
Body weight	X	X	X			X			X						X		X			X	X	X	X	X	See Section 8.2.6
Blood pressure and pulse rate	X	X	X			X			X						X		X			X	X	X	X	X	See Section 8.2.2.
Demo- graphy	X																								
Medical history (includes substance usage and family history of premature CV disease)	X																								Substances: drugs, alcohol, tobacco, and caffeine
Pre-existing conditions	X	X	X																						

Procedure	Stu Peri Scre ing Lea	od 1 een- and									-	Perio									Disc	arly ontinu- tion	Study Period 3 Follow -up	ET	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2 <sup>c</sup>	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	X			X			X						X		X		X	X	X	X	X	X	Minimum 8 hours
Concomitant medication review	X	X	X			X			X						Х		X			X	X	Х	X	X	
Full physical examination	X																			X	X	X	X	X	
12-lead ECG	X		X			X			X						X		X			X	X	X	X	X	
AE/SAE/P C review			X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
												Labo	rator	y As	sessm	ents									
Pharmaco- kinetic samples (LY3209590)			X	X	X	X			X						X		X		X	X	X	X	X	X	Collected for participants randomized to LY3209590. See Section 8.5

Procedure	Stu Peri Scro ing Lead	od 1 een- and									study eatm										Disc	arly ontinu- tion	Study Period 3 Follow -up	ET	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2°	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	X			X			X						X		X		X	X	X	X	X	X	Minimum 8 hours
Serum pregnancy test (WCBP only)	X																								See Section 5.1.
Urine pregnancy test (WCBP only) (local)			X																			X	X	X	Additional pregnancy testing may be performed if required by local regulations.
FSH	X																								Collect for women whose menopausal status needs to be determined. If menopausal status is known, this test does not need to be collected.
Urinalysis	X																			X	X	X	X	X	

Procedure	Stu Peri Scro ing Lea	od 1 een- and									tudy eatm		od 2 eriod								Disc	arly ontinu- tion	Study Period 3 Follow -up	ET	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2 <sup>c</sup>	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	X			X			X						X		X		X	X	X	X	X	X	Minimum 8 hours
eGFR	X																			X	X			X	
Chemistry panel	X	X	X			X			X						X		X		X	X	X	X	X	X	
Hepatitis B	X																								
HbA1c	X		X						X						X		X			X	X			X	
Hemat- ology	X		X						X						X		X			X	X	X	X	X	
Lipid Panel		X	X												X					X	X	X	X	X	
Pancreatic amylase and Lipase	X																								
Free fatty acid		X	X			X			X						X		X		X	X	X	X	X	X	
Immuno- genicity			X			X			X						X					X	X	X	X	X	See Section 8.9.
C-peptide			X																	X	X			X	

Procedure	Stu Peri Scre ing Lea	od 1 een- and									-	Perio ent Po									Disc	arly ontinu- tion	Study Period 3 Follow -up	ЕТ	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2°	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	X			X			X						X		X		X	X	X	X	X	X	Minimum 8 hours
Pharmaco- genetic sample			X																						
Nonpharma cogenetic Stored Samples		X	X						X						X		X			X	X	X	X	X	

			_	_			D	iaries	pplies s/ Prod					
Study participant training/ education	X													Includes diabetes counseling, hypoglycemia (8.3.7.1), BG meter, 6-point SMBG profiles, and diary completion.

Procedure	Stu Peri Scre ing Lea	od 1 een- and									tudy eatm										Disc	arly ontinu- tion	Study Period 3 Follow -up	ET	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2 <sup>c</sup>	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	X			X			X						X		X		X	X	X	X	X	X	Minimum 8 hours
Dispense BG meter and/or supplies		X	X			X			X						X		X			X	X				Participant diaries will be visit specific. All of the diaries will be electronic.
IWRS	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Remind Patient to perform 6-point SMBG profiles prior to next visit		X			X			X			X			X		X		X	X						
Review 6-point SMBG profiles			X			X			X			X			X		X		X	X	X				See next line for indicated visits. See Section 4.1.1 for additional details.

Procedure		od 1 een- and									tudy eatm										Disc	arly ontinu- tion	Study Period 3 Follow -up	ET	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2°	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	X			X			X						X		X		X	X	X	X	X	X	Minimum 8 hours
Review SMBG, basal insulin dose, and hypo data to make dose individualiz- ation assessment			X	X	X	X	X	X	X	X	X	X	X	X	X		X	X	X						Assessment performed per participant assigned group (Algorithm 1 or insulin degludec)
Insert and Activate FGM Sensor	Х												Х						Х						Patients should be instructed to avoid immersion in water greater than 3 feet (1 meter) or for periods longer than 30 minutes.

Procedure	Stu Peri Scro ing Lea	od 1 een- and									tudy eatm										Disc	arly ontinu- tion	Study Period 3 Follow -up	ET	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2°	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	X			X			X						X		X		X	X	X	X	X	X	Minimum 8 hours
Remove FGM Sensor and site upload data			X												X					X	X			X	Remove at ET if applicable. Patients should not wear sensor longer than 14 days and if visit interval is >14 days, patient should be instructed to remove the sensor and bring to the visit.
Dispense IP			X	X	X	X	X	X	X	X	X	X	X	X	X			X							
Study personnel administer IP at site			X	X	X	X	X	X	X	X	X														

Procedure	Stu Peri Scre ing Lea	od 1 een- and									study eatm										Disc	arly ontinu- tion	Study Period 3 Follow -up	ET	Notes
eCRF Visit Number	1	2	3 a	4	5	6	7	8	9	10	11	12	13	14	15	16 <sup>b</sup>	17	18	19	20 c	ED1	ED2°	801°		
Weeks	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12	14	16	20	24	26		ED1 +5	31		
Visit window, days	±7	±3		±2	±2	± 3	±2	±2	±3	±2	±2	±2	±2	±2	±3	±3	±3	±3	±3	±3	±3	±3	±3		
Fasting		X	X			X			X						X		X		X	X	X	X	X	X	Minimum 8 hours
Train participants in IP administrati on						Х			х			Х	Х	X	X		Х								Information on self- injection can be reviewed as necessary throughout the study.
Participant or study personnel administer at site												X	X	X	X		X								Weeks 13-25: participants may self- administer injection at home or have injection done at site.
LY3209590 and degludec accounta- bility (collect used and unused IP)																		X		X	X			X	

Abbreviations: AE = adverse event; BG = blood glucose; CV = cardiovascular; ECG = electrocardiogram; eCRF = electronic case report form; ED = early discontinuation; eGFR = estimated glomerular filtration rate; ET = early termination; FG = fasting glucose; FGM = flash glucose monitoring; FSH = follicle-stimulating hormone; HbA1c = glycated hemoglobin; HDL = high density lipoprotein; IP = investigational product; IWRS = interactive web response system; LDL = low density lipoprotein; LY = LY3209590; PC = product complaints; PK = pharmacokinetics; SAE = serious adverse event; SMBG = self-monitoring blood glucose; WCBP = women of child bearing potential.

- <sup>a</sup> All measures to be performed at Visit 3 should be done prior to injection of the participant's assigned investigational product (IP) to ensure that appropriate baseline measurements are obtained.
- b Visit 16 is a Phone visit.
- c Visits 20 and ED1 are performed approximately 1 week after the last dose of LY and 1 day after the last dose of insulin degludec. Visit 801 is performed for participants who complete Visit 20. ED1 and ED2 are performed for participants who discontinue study drug but remain in the study.
- d A triplicate, central ECG will be performed in a fasting state at Visits 3, 6, 9, 15, and 17, prior to each PK sample. Patients randomized to insulin degludec treatment will undergo ECG in a fasting state without PK sampling. Only one triplicate ECG is needed for insulin degludec treated patients.
- c Collect 2 PK samples of LY3209590: one predose and one approximately 2 hours postdose or as late as possible just prior to departure from the site. ECG will be performed in triplicate prior to the time of each PK sampling at this visit. Note: PK samples are not intended to be collected for participants in the insulin degludec treatment group.

Note: Additional visits to ensure correct dosing, titration and study drug administration can occur anytime during the study if deemed necessary by the investigator and are explicitly allowed and part of the protocol.

#### 2. Introduction

# 2.1. Study Rationale

LY3209590 is a long-acting insulin receptor agonist being developed for the treatment of type 2 diabetes mellitus (T2DM). This Phase 2 study of LY3209590 will evaluate the effects of LY3209590 on glycemic control compared with insulin degludec in insulin-naïve patients.

### 2.2. Background

LY3209590 was designed as a novel, once-weekly, long-acting insulin receptor agonist that is intended for the treatment of hyperglycemia in study participants with T2DM. A once-weekly insulin receptor agonist has the potential to increase willingness to initiate and improve compliance with an insulin therapy in study participants with T2DM. In real life, only about 25% these study participants reach the target hemoglobin A1c (HbA1c) of 7% (Blonde et al. 2014), and a once-weekly insulin receptor agonist could help to increase this number. The potential downside of such a long-acting insulin receptor agonist could theoretically be a higher risk for hypoglycemic events or a longer duration of such events. In contrast to this theoretical risk the recently launched long-acting insulin degludec has shown a reduced potential to induce hypoglycemia as compared to insulin glargine while its half-life is twice as long as that of insulin glargine (Heise et al. 2012). The current Phase 2 study will therefore evaluate the safety and efficacy of LY3209590 as compared to insulin degludec while very closely monitoring glycemic profiles.

Data from **CC** which was the single-ascending dose (SAD) study, demonstrated clear evidence of glucose lowering in study participants with T2DM following single doses of LY3209590 ranging from CCI mg. The pharmacokinetics (PK) of LY3209590 following single doses demonstrated prolonged time-action profile to support onceweekly administration. LY3209590 reached maximum concentration approximately 4 days after dosing, followed by a mean elimination CC in study participants with T2DM. With a long elimination half-life, following a weekly fixed-dose regimen, PK steady-state was predicted to be reached in CCI with approximately **CCI** higher concentration due to accumulation than after a single dose. Therefore, based on PK modeling a single loading dose strategy using times the weekly dose would achieve steady-state exposure after 1 dose. Based on data in Study I8H-MC-BDCB (BDCB), the multiple-ascending dose (MAD) study, PK following a single loading dose (CD) the initial weekly dose) during the first week was demonstrated to be comparable to concentration profile at Week 6 (at Colors dose delivered once weekly), supporting the loading dose strategy. No clinically significant persistence in hypoglycemia was observed in the study. Besides the known risk of hypoglycemia, no relevant safety signals have been observed in the Phase 1 studies.

The toxicity profile of LY3209590 has been characterized in 6-week and 6-month repeat-dose toxicology studies in rats and dogs, and reproductive toxicology studies in rats and rabbits. These studies demonstrated a familiar spectrum of effects typically associated with changes secondary to hypoglycemia and/or hyperinsulinemia resulting from repeat dosing of exogenous

insulin in normoglycemic test systems. All findings were considered to be target-related with no evidence of off-target effects in any of the parameters assessed.

Additional information about LY3209590 can be found in the Investigator's Brochure (IB).

## 2.3. Benefit/Risk Assessment

The data from the SAD study and the MAD study (Studies BDCA and BDCB, respectively) have shown that LY3209590 was well tolerated and the adverse drug reactions are in line with those reported for long-acting insulins.

Potential risks associated with LY3209590, derived from the known risks of long-acting insulins are hypoglycemia, hypersensitivity reactions (localized allergy and/or systemic allergy), undesirable effects at the injection site (injection-site reactions and lipodystrophy), and peripheral edema. Except for hypoglycemia, no other known risks of insulin therapy have been observed to date in study participants or healthy volunteers exposed to LY3209590 for up to 12 weeks.

Taking into account the measures taken to minimize risk to participants participating in this study, the potential risks identified in association with LY3209590 are justified by the anticipated benefits that may be afforded to participants with T2DM.

More information about the known and expected benefits, risks, serious adverse events (SAEs), and reasonably anticipated adverse events (AEs) of LY3209590 may be found in the IB.

The Algorithm 1 (paper) was developed to initiate and guide LY3209590 dose adjustment for this study to safely and efficiently achieve glycemic goals.

#### 2.3.1. Protocol Risk Mitigation Features

Methods to minimize potential risks are relevant for participants assigned to LY3209590 Algorithm 1 and insulin degludec treatment groups. These include

- Thorough training on the protocol design elements and inclusion/exclusion criteria; use of an electronic diary (eDiary), a web-interface and reporting system along with availability of associated instruction manuals and reference documents.
- Careful data validation: After patient-reported data has been entered and synced remotely to the central web server, the investigator is able to view the data through the web portal and generate summary reports. An important feature of the study requires careful review of the reported information with the patient and verification of its accuracy at each study visit.
- Email notification to investigator any time the patient reports a potential severe episode of hypoglycemia (requiring assistance due to neurological impairment) in the eDiary.
- Specific criteria for fasting or persistent hyperglycemia are described protocol Section 6.1.2.3.

Importantly, in both treatment groups, the final dosing decisions remain subject to clinical judgement of the investigator. Decisions to override an algorithm-recommended dose are documented in the electronic case report form (eCRF) for Algorithm 1 and insulin degludec.

# 3. Objectives and Endpoints

Objectives	Endpoints
Primary	
To investigate the efficacy of LY3209590 compared with insulin degludec in study participants with T2DM	HbA1c change from baseline to Week 26
Secondary	
<ul> <li>Efficacy</li> <li>To investigate the efficacy of LY3209590 compared with insulin degludec in study participants with T2DM</li> </ul>	<ul> <li>HbA1c change from baseline to Week 12</li> <li>Fasting glucose change from baseline to Weeks 12 and 26</li> </ul>
<ul> <li>Safety</li> <li>To investigate the safety of         LY3209590 compared with insulin         degludec in study participants with         T2DM</li> </ul>	<ul> <li>Incidence and rate of hypoglycemia events during the treatment period</li> <li>Incidence of treatment-emergent serious adverse events</li> </ul>
Pharmacokinetics  • To characterize the PK of LY3209590 and potential intrinsic and extrinsic factors in study participants with T2DM	Steady state AUC at Week 26
Tertiary/Exploratory	
To establish the equivalent dose of LY3209590 relative to insulin degludec	Insulin dose at baseline and Week 26
To investigate the safety and tolerability of LY3209590 compared with insulin degludec in study participants with T2DM	<ul> <li>Treatment-emergent adverse events</li> <li>Incidence and rate of hypoglycemia events during the post-treatment follow-up period</li> <li>Discontinuation of IP due to adverse events</li> <li>Clinical laboratory results</li> <li>Injection site reactions</li> <li>Liver aminotransferase change from baseline to Weeks 12 and 26</li> <li>Triglyceride and FFA change from baseline to Weeks 12 and 26</li> </ul>

Objectives	Endpoints
	Body weight change from baseline at Weeks 12 and 26
To establish the relationships between dose/exposure and key safety and efficacy measures for LY3209590	LY3209590 exposure-response relationships for key efficacy and safety measures (e.g., glycemic control, hypoglycemia and safety labs).
To characterize the effects of LY3209590 on exploratory PD biomarkers	<ul> <li>Lipids (cholesterol, LDL, HDL, triglycerides)</li> <li>Biomarkers of lipolysis (FFA)</li> <li>SMBG glucose profiles</li> </ul>
To characterize Continuous Glucose Monitoring Parameters derived from FGM	<ul> <li>Various parameters derived from FGM (for example average daily glucose, Time in target range 70 to 180 mg/dL, time in hyperglycemia, time in hypoglycemia)</li> </ul>
To explore the development of LY3209590 ADAs	The frequency of antibody formation to LY3209590 and relationship to safety and efficacy markers will be determined.
To explore the compliance with the dosing regimen	Incidence and percentage of missed doses of LY3209590 and insulin degludec.

Abbreviations: ADA = antidrug antibodies; AUC = area under the curve; FFA = free fatty acids; FGM = flash glucose monitoring; HbA1c = glycated hemoglobin; HDL = high density lipoprotein; IP = investigational product; LDL = low density lipoprotein; PD = pharmacodynamics; PK = pharmacokinetics; SMBG = self-monitoring blood glucose; T2DM = type 2 diabetes mellitus.

# 4. Study Design

## 4.1. Overall Design

Study I8H-MC-BDCL (BDCL) is a multicenter, randomized, open-label, parallel, comparator-controlled Phase 2 study with 3 study periods. The study is designed to evaluate the efficacy and safety of LY3209590 compared with insulin degludec in patients with T2DM treated with a stable dose of metformin (alone or in combination with a stable dose of a DPPIV inhibitor and/or a SGLT2 inhibitor) for at least 3 months prior to screening.

The study will consist of 3 periods:

- Study Period 1: screening and lead-in period, approximately 2 weeks
- Study Period 2: treatment period, 26 weeks
- Study Period 3: safety follow-up period, 5 weeks

The study schema is presented in Section 1.2.

Study governance considerations are described in detail in Section 10.1.

#### 4.1.1. Study Visits

#### Study Period 1: Screening and Lead-in

The purpose of procedures at screening is to establish eligibility for inclusion in the study (see Sections 5.1 and 5.2). During this period (upon signing the informed consent form [ICF]), study participants will be trained on disease monitoring and disease management procedures, study diaries, and study procedures. For all participants meeting study entry criteria except for reporting of screening clinical laboratory assessments, a LibrePro sensor for flash glucose monitoring (FGM) will be inserted and activated at Visit 1 for baseline FGM assessment. If the patient is ultimately determined a screen failure, the sensor can be removed and discarded or the patient can continue wearing for up to 14 days and return to the site for reading and diagnostics. All confirmed eligible participants should continue wearing the sensor for up to 14 days and to wear the sensor to Visit 3 for removal or bring the sensor with them to Visit 3 if this visit occurs longer than 14 days after insertion.

Electronic participant diaries and participant paper note sheets will be dispensed at Visit 2 and as specified in the Schedule of Activities (Section 1.3) for future visits. Collection of baseline self-monitoring blood glucose (SMBG) profiles will start at Visit 2. Participants on metformin (alone or in combination with a stable dose of a DPPIV inhibitor and/or a SGLT2 inhibitor) will continue on their same dose to allow reliable assessment of HbA1c at randomization.

If participants develop a condition that is a contraindication for the use of metformin, a DPPIV inhibitor and/or a SGLT2 inhibitor (if used at screening) or initiate other agents that are prohibited (see table in Section 6.5 and Sections 5.1 and 5.2 for further details) between screening and randomization, they will be considered ineligible and will be discontinued from the trial before randomization.

#### SMBG:

All patients will be provided with identical blood glucose meters for taking self-monitored plasma glucose measurements during the outpatient period at Visit 2.

#### **Study Period 2: Treatment Period**

#### Randomization (Week 0 [Visit 3])

Participants who continue to be eligible for the study will be randomized to 1 of the 2 treatment groups. All measures, including a urine pregnancy test, to be performed at Visit 3 (see Schedule of Activities, Section 1.3) should be done prior to injection of the participant's assigned investigational product (IP) to ensure that appropriate baseline measurements are obtained. The FGM sensor should also be removed prior to study treatment and data from the sensor uploaded by the site. The participant will begin IP if the urine pregnancy test is negative. If the result of the serum pregnancy test is positive, the participant will be discontinued from the study (see Section 7.2).

Study personnel will inject the first dose of IP at the study site. The PK sample and electrocardiograms (ECGs) at randomization must be collected as described in the Schedule of Activities (Section 1.3).

#### **Treatment**

Following randomization, participants will participate in a 26-week treatment period.

For patients randomized to the LY3209590 group the following guidance for IP administration is applicable:

- During Weeks 0 to 8, site personnel will administer IP at the site. Participants will receive education and training on how to self-administer IP (for details, see the Schedule of Activities). The training should include information on reconstitution of IP, appropriate injection site locations, injection technique, and the signs and symptoms of local adverse reactions, should those occur.
- During Weeks 9 to 12, IP will be reconstituted and administered at the site by the participant, under supervision of trained site personnel to assure that the participant is capable of self-administration.
- During Weeks 13 to 25, outside of titration visits IP can be self-administered by the participant at home unless local regulations require administration at the site, or optionally can be administered once weekly by site personnel.
- Information on self-injection can be reviewed as necessary throughout the study. Additional visits to ensure correct dosing, titration, and study drug administration can occur anytime during the study if deemed necessary by the investigator.

#### LY3209590 will be administered as follows:

• Algorithm 1 (paper algorithm): dose adjustment guidance provided (Section 10.8); study participant will receive an initial individualized LY3209590 dose on Day 1 with weekly adjustments based on fasting glucose (FG) and hypoglycemia data for the first 12 weeks, then every 4 weeks.

For patients randomized to LY3209590 Algorithm 1, documented guidance for dose adjustment is provided (Section 10.8).

For patients randomized to the insulin degludec arm the following guidance for administration is applicable:

Insulin degludec will be self-administered daily by participants after a training and first administration under site personnel supervision on Day 1 according to a modified Riddle algorithm (Section 10.8).

#### **General considerations**

Study procedures will be performed as listed in the Schedule of Activities (Section 1.3).

To allow timely sampling for PK assessments, visits for collection of the samples will be scheduled within the required time windows provided in the Schedule of Activities.

Participants will continue to use concomitant metformin (alone or in combination with a stable dose of a DPPIV inhibitor and / or a SGLT2 inhibitor) throughout the treatment period. Discontinuation or changes to dose are not permitted, except in situations where dose adjustment or complete discontinuation is required per country-specific label or when allowed per study protocol (for further details, see Section 6.5.2).

<u>Self Monitoring of Blood Glucose (SMBG):</u> All measurements have to be recorded in the provided electronic diary. Participants will be instructed to perform FG measurements each day. In addition, two 6-point SMBG profiles (prior to and 2 hours after the morning, midday, and evening meals) should be done on nonconsecutive days in the week prior to the required visits noted in the Schedule of Activities (Section 1.3).

Participants who develop severe, persistent hyperglycemia based on prespecified thresholds (see Section 6.1.2.3) will receive a new glucose-lowering intervention (or rescue therapy) based on clinical judgment of the investigator (see table in Section 6.5). Participants who need rescue therapy will continue on IP in the trial until they complete all study visits.

**Flash glucose monitoring (FGM):** Participants will undergo 3 FGM sessions using the LibrePro System (Abbott) in the 14-day period prior to randomization, Visit 15, and Visit 20. Patients will remain blinded to these assessments until the study is completed.

# Study Period 3: End-of-Treatment and Safety Follow-up, Visits 20, 801, Early Discontinuation 1 and 2 (ED1 and ED2)

All randomized participants should have a comprehensive efficacy and safety evaluation at Visit 20 and a safety follow-up visit approximately 6 weeks after the last dose of LY3209590 and 5 weeks after the last dose of insulin degludec. During the safety follow-up study period, participants will continue SMBG and may be treated with an appropriate glucose-lowering regimen (see table in Section 6.5) if appropriate. Participants will also be required to return the study diary and used or unused IP to the investigative site.

Participants who *complete the treatment period* will have a comprehensive End-of-Treatment efficacy and safety assessment (Week 26; Visit 20). The safety follow-up assessment (Visit 801) should occur approximately 5 weeks after their End-of-Treatment assessment (Visit 20). Participants will also be required to return used and unused IP as well as the study diary to the investigative site at V801.

Participants who *discontinue IP prior to completion* of the treatment period for any reason should also have a comprehensive End-of-Treatment efficacy and safety assessment (early discontinuation [ED]1) approximately 1 week after the last dose of LY3209590 or as soon as reasonably possible thereafter, and should have a safety follow-up assessment (ED2)

approximately 5 weeks after ED1. Study activities at Visits ED1 and ED2 are identical to Week 26 (Visit 20) and Visit 801, respectively.

and the switch to an alternative,

commercially available insulin must be closely monitored to prevent hypoglycemia due to an accumulation of insulin action.

Participants who discontinue IP prior to the completion of the treatment period will be encouraged to remain in the study and to complete any scheduled study procedures until Visit 20. Participants remaining in the study will receive an appropriate glucose-lowering regimen. Table in Section 6.5 provides details on the use of glucose-lowering medications, which can be used.

Participants who discontinue IP for any reason and are unwilling to return for a safety follow-up visit, will be asked to perform an early termination (ET) visit as their final study visit. At this visit, participants will perform procedures listed in the Schedule of Activities (Section 1.3).

# 4.2. Scientific Rationale for Study Design

This study will evaluate LY3209590 in patients with T2DM, compared with an active control.

The study will last 26 weeks to have an adequate duration of exposure necessary to assess efficacy and safety of LY3209590.

Insulin degludec is an unblinded active comparator in this study and will be used to compare the effects of LY3209590 on glycemic control, hypoglycemia, and weight gain with a daily basal insulin.

#### 4.3. Justification for Dose

CCI

a single initial dose, followed by weekly dose adjustments is recommended for LY3209590 dosing regimen. The initial dose is determined based on mean baseline fasting glucose, body weight, and available LY3209590 data. Dose adjustments are based on prior fasting glucose and hypoglycemia events. Alterations to the doses recommended by the dose adjustment algorithm are also under discretion of the investigator and will take hypoglycemia and other study participant safety concerns into account. In case of a deviation from the algorithm-recommended dose, a medical rationale for this deviation must be documented by the PI.

Insulin degludec will be administered at a starting dose of 10 IU/day, as described in the approved product labeling. The study participant will start a titration phase based on fasting glucose and the presence of hypoglycemia or other safety concerns. Dose adjustments will be performed according to a modified Riddle algorithm, which is widely used in clinical trials and clinical practice (Section 10.8).

As it may be desirable to achieve therapeutic goal of LY3209590 in less than 12 weeks with low risk of hypoglycemia, an initiation dose strategy may be appropriate where the first dose sufficient to achieve an efficacious exposure is given initially, followed by individually optimized weekly dose adjustments to achieve target response. Tables for Algorithm 1 (Section 10.8) show the initial doses, as well as those to be used when increasing the dose during

the dose individualization visits. See Section 10.8 for description of the dose adjustment algorithms.

Guidance is provided for dosing suggestions for investigators based on fasting glucose and hypoglycemia of the study participant (Section 10.8).

Safety of study participants will be closely monitored during the early stages of dose titration to determine whether adjustments to the initial dose and dose adjustment algorithm is needed. As additional data emerges, guidance from the sponsor on the dosing algorithm may be modified (Section 10.8).

# 4.4. End of Study Definition

End of the trial is the date of the last visit or last scheduled procedure shown in the Schedule of Activities (Section 1.3) for the last patient.

# 5. Study Population

Prospective approval of protocol deviations to recruitment and enrollment criteria, also known as protocol waivers or exemptions, is not permitted. The study population will consist of patients with a diagnosis of T2DM treated with diet and exercise and with a stable dose of metformin (alone or in combination with a stable dose of a DPPIV inhibitor and/or a SGLT2 inhibitor) for at least 3 months prior to screening. Eligibility of patients will be based on the results of the assessments performed at screening as described in the Schedule of Activities (Section 1.3). All screening evaluations must be completed and reviewed to confirm that potential participants meet all eligibility criteria. The investigator will maintain a screening log to record details of all participants screened and to confirm eligibility or record reasons for screening failure, as applicable. The nature of any conditions present at the time of the initial screening and any preexisting conditions will be documented in the eCRF.

For screen failures and rescreening activities within the screening period, see Section 5.4.

#### 5.1. Inclusion Criteria

Participants are eligible to be included in the study only if all of the following criteria apply:

#### Type of Study Participant and Disease Characteristics

[1] Have a diagnosis of T2DM treated with a stable dose of metformin (alone or in combination with a stable dose of a DPPIV inhibitor and/or a SGLT2 inhibitor) for at least 3 months prior to screening (see Inclusion Criterion 5).

## **Study Participant Characteristics**

- [2a] No male contraception required except in compliance with specific local government study requirements.
- [2b] Female participants:
  - Women of child-bearing potential who are abstinent (if this is complete abstinence, as their preferred and usual lifestyle) or in a same sex relationship (as part of their preferred and usual lifestyle) must agree to either remain abstinent or stay in a same sex relationship without sexual relationships with males. Periodic abstinence (e.g., calendar, ovulation, symptothermal, post-ovulation methods), declaration of abstinence just for the duration of a trial, and withdrawal are not acceptable methods of contraception.
- 2) Otherwise, women of child-bearing potential participating must agree to use 1 highly effective method (less than 1% failure rate) of contraception, or a combination of 2 effective methods of contraception for the entirety of the study.
  - a) Women of child-bearing potential participating must test negative for pregnancy prior to initiation of treatment as indicated by a negative serum pregnancy test at the screening visit followed by a negative urine pregnancy test within 24 hours prior to exposure.

b) Either 1 highly effective method of contraception (such as combination oral contraceptives, implanted contraceptives or intrauterine device) or a combination of 2 effective methods of contraception (such as male or female condoms with spermicide, diaphragms with spermicide or cervical sponges) will be used. The participant may choose to use a double barrier method of contraception. Barrier protection methods without concomitant use of a spermicide are not a reliable or acceptable method. Thus, each barrier method must include use of a spermicide. It should be noted that the use of male and female condoms as a double barrier method is not considered acceptable due to the high failure rate when these methods are combined.

- Women not of childbearing potential may participate and include those who are:
  - a) infertile due to surgical sterilization (hysterectomy, bilateral oophorectomy, or tubal ligation), congenital anomaly such as mullerian agenesis; or
  - b) post-menopausal defined as either
    - i) A woman at least 40 years of age with an intact uterus, not on hormone therapy, who has cessation of menses for at least 1 year without an alternative medical cause, AND a follicle-stimulating hormone >40 mIU/mL; or
    - ii) A woman 55 or older not on hormone therapy, who has had at least 12 months of spontaneous amenorrhea; or
    - iii) A woman at least 55 years of age with a diagnosis of menopause prior to starting hormone replacement therapy.
- [3] Are 18 to 75 years of age, inclusive, at the time of signing the ICF.
- [4] Have a baseline HbA<sub>1c</sub> value of 7.0% to 9.5%, inclusive, at screening.
- [5] Must have been treated with a stable dose of metformin (alone or in combination with a stable dose of a DPPIV inhibitor and/or a SGLT2 inhibitor) for 3 months prior to screening and be willing to continue stable dosing throughout the study.
  - Note: All oral antihyperglycemic medications (OAMs) must be used in accordance with the corresponding local product label at the time of screening.
- [6] Have a body mass index (BMI) between 20 and 45 kg/m<sup>2</sup>, inclusive, with no significant weight gain or loss in the past 3 months ( $\geq$ 5%).
- [7] In the investigator's opinion, are well-motivated, capable, and willing to:
  - learn how to self-inject treatment; visually impaired persons who are not able to perform the injections must have the assistance of a sighted individual trained to inject the IP; persons with physical limitations who are not able to perform the injections must have the assistance of an individual trained to inject the IP;

- perform daily fingerstick blood glucose monitoring as required in Section 4.1.1 throughout the trial;
- maintain study diary, as required for this protocol;
- must have a normal wake/sleep pattern such that midnight to 0600 hours will reliably reflect a usual sleeping period.
- [8] Are willing and able to follow the visit schedule during the complete duration of the trial.

#### **Informed Consent**

[9] Capable of giving signed informed consent as described in Section 10.1, which includes compliance with the requirements and restrictions listed in the ICF and in this protocol.

#### 5.2. Exclusion Criteria

Participants are excluded from the study if any of the following criteria apply:

#### **Medical Conditions**

- [10] Have a history of greater than 1 episode of ketoacidosis or hyperosmolar state/coma requiring hospitalization in the 6 months prior to screening.
- [11] Have had any episodes of severe hypoglycemia and/or hypoglycemia unawareness within the 6 months prior to screening.
- [12] Cardiovascular (CV): have had any of the follow CV conditions: acute myocardial infarction, New York Heart Association Class III or IV heart failure (Section 10.6), or cerebrovascular accident (stroke).
- [13] Gastrointestinal: have undergone gastric bypass (bariatric) surgery or restrictive bariatric surgery (e.g., Lap-Band®) prior to screening.
- [14] Hepatic: have acute or chronic hepatitis, or obvious clinical signs or symptoms of any other liver disease except non-alcoholic fatty liver disease (NAFLD) (i.e., patients with NAFLD are eligible for participation), and/or have elevated liver enzyme measurements, as determined by the central laboratory at screening and as indicated below:
  - Total bilirubin >1.5x the upper limit of normal (ULN) in the absence of Gilbert's syndrome, or
  - Alanine aminotransferase (ALT)/serum glutamic pyruvic transaminase (SGPT) >2.0x ULN, or
  - Aspartate aminotransferase (AST)/serum glutamic oxaloacetic transaminase (SGOT) >2.0x ULN
- [15] Renal: have an estimated glomerular filtration rate (eGFR) <30 mL/min/1.73 m<sup>2</sup>, calculated by the Chronic Kidney Disease-Epidemiology equation, as determined by the central laboratory at screening.

- [16] Have fasting triglycerides >400 mg/dL or non-fasting >600 mg/dL.
- [17] Have experienced significant weight loss or gain (>5%) in body weight in the 3 months prior to screening (Visit 1).
- [18] Have active or untreated malignancy, or have been in remission from clinically significant malignancy (other than basal cell or squamous cell skin cancer) for less than 5 years or are at increased risk for developing cancer or a recurrence of cancer in the opinion of the investigator.
- [19] Have known hypersensitivity or allergy to any of the study medications or their excipients.
- [20] Have any other serious disease or condition (for example, known drug or alcohol abuse/regular consumption or psychiatric disorder) that, in the opinion of the investigator, would pose a significant risk to the patient, preclude the patient from following and completing the protocol, or interfere with the interpretation of safety, efficacy, or PD data.

  Alcohol abuse/regular consumption is defined as an average daily intake of >3 units for males or >2 units for females within 6 months prior to the study. One unit is equivalent to 8 g of alcohol: a half-pint (~240 mL) of beer, 1 glass (125 mL) of wine or 1 (25 mL) measure of spirits.
- [21] Have had a blood transfusion or severe blood loss within 3 months prior to screening or have any hematologic condition that may interfere with HbA1c measurement (e.g., hemoglobinopathy, hemolytic anemia, sickle-cell disease).
- [22] Women of child bearing potential who
  - i) are pregnant or intend to become pregnant
  - ii) are lactating/breastfeeding (including the use of a breast pump)
  - iii) are unwilling to remain abstinent or use birth control as described in Appendix 4
  - iv) test positive for pregnancy at the time of screening (Visit 1). Note: a urine pregnancy test is conducted at Visit 3.

#### **Prior/Concomitant Therapy**

- [23] Are taking drugs that may significantly affect glycemic control (e.g., niacin [allowed if <1.0 g/day], bile acid sequestrants or pentoxyphylline).
- [24] Are receiving chronic (>14 days) systemic glucocorticoid therapy (excluding topical, intraocular, intranasal, or inhaled preparations) or have received such therapy for >14 days within the month preceding screening.
- [25] Are currently taking or have taken within the 3 months preceding screening, prescription or over-the-counter (OTC) medications to promote weight loss. Patients who participate must agree not to initiate a diet and/or exercise program during the study with the intent of reducing body weight other than the lifestyle and dietary measures for diabetes treatment.

[26] Have been treated with a sulfonylurea (SU), a glitazone, alpha-glucosidase inhibitor, a GLP-1 receptor agonist or insulin in the 3 months prior to screening with the exception of short-term use of insulin for acute conditions (≤14 days within the last 6 months prior to screening).

[27] Are using or have used blood pressure-lowering medication at a dose that has not been stable for 1 month prior to screening.

#### **Prior/Concurrent Clinical Trial Experience**

- [28] Are currently enrolled in any other clinical study involving an IP or any other type of medical research judged not to be scientifically or medically compatible with this study.
- [29] Have participated, within the last 30 days in a clinical trial involving an IP. If the previous IP has a long half-life, 3 months or 5 half-lives (whichever is longer) should have passed.
- [30] Have previously completed or withdrawn from this study or any other study investigating LY3209590.

#### **Other Exclusions**

- [31] Are investigator site personnel directly affiliated with this study and/or their immediate families. Immediate family is defined as a spouse, parent, child, or sibling, whether biological or legally adopted.
- [32] Are Lilly employees.

# 5.3. Lifestyle Considerations

Per the Schedule of Activities (Section 1.3), qualified medical staff will provide diabetes management counseling, which will include instructions on diet and exercise and education about the signs, symptoms, and treatment of hypoglycemia, should it occur.

Prescription or OTC medications that promote weight loss are exclusionary if used within 3 months prior to screening (study entry), or between screening and randomization. These medications are also not allowed at any time during the treatment period. If started after randomization, they should be immediately withdrawn.

Study participants should be instructed not to donate blood or blood products during the study or for 4 weeks following the study.

#### 5.3.1. Meals, Dietary Restrictions, and Activity

Patients should continue their usual exercise habits and generally follow a healthy meal plan (with consistent meal size and time of day) throughout the course of the study. Dietary counseling may be reviewed throughout the study, as needed.

In addition, patients should not receive an intensive diet/exercise program with the intent of reducing body weight at any time during the study, other than the lifestyle and dietary measures for diabetes treatment.

### 5.4. Screen Failures

Screen failures are defined as participants who consent to participate in the clinical study but are not subsequently randomly assigned to study intervention. A minimal set of screen failure information is required to ensure transparent reporting of screen failure participants to meet the Consolidated Standards of Reporting Trials (CONSORT) publishing requirements and to respond to queries from regulatory authorities. Minimal information includes demography, screen failure details, eligibility criteria, and any SAE.

Individuals who do not meet the criteria for participation in this study (screen failure) may be rescreened once. The interval between screening and rescreening should be at least 4 weeks. A new ICF must be signed at the time of rescreening and the study participant will be assigned a new identification number. A single repeat testing of suspected erroneous/spurious central laboratory results is allowed without rescreening the study participant.

## 6. Study Intervention

Study intervention is defined as any investigational intervention(s), marketed product(s), placebo, or medical device(s) intended to be administered to a study participant according to the study protocol.

## 6.1. Study Intervention(s) Administered

LY3209590 will be provided in a 20 mg vial of lyophilized powder. Upon reconstitution per the pharmacy instructions provided by the sponsor, LY3209590 will be administered once weekly as SC injections. Each patient will receive 1 injection each week, as described in the "Treatment Regimens" table below. Subcutaneous injections of LY3209590 will be administered rotating between left and right abdominal regions, and upper and lower quadrants. Refer to Study Period 2 in Section 4.1.1 for details on how IP will be administered.

Patients will receive treatment with LY3209590 by an algorithm described in Section 10.8.

The LY3209590 Algorithm 1 is a paper-based algorithm that uses similar principals to the established Riddle algorithm.



Insulin degludec will use the established Riddle algorithm and is a paper-based algorithm.

Approximately one-half of the subjects will be randomized in each of the 2 remaining treatment groups.

Insulin degludec will be provided as 100 units/mL in a prefilled pen. It will be administered once daily at approximately the same time of day, rotating between left and right abdominal regions, and upper and lower quadrants.

See Section 6.7.1 for transitioning to treatment after study completion.

#### **Treatment Regimens**

	Dose		
Regimen	Randomization through Week 26		
LY Dose Algorithm 1	LY injection		
(paper)			
Insulin degludec	insulin degludec injection (open-label)		

Abbreviations: LY = LY3209590.

The investigator or his/her designee is responsible for the following:

- explaining the correct use of the investigational agent(s) to the patient
- verifying that instructions are followed properly
- maintaining accurate records of IP dispensing and collection
- at the end of the study returning all unused medication to Lilly, or its designee, unless the sponsor and sites have agreed all unused medication is to be destroyed by the site, as allowed by local law.

Clinical study materials will be labeled according to the country's regulatory requirements.

#### **6.1.1.** Selection and Timing of Doses

For patients randomly assigned to LY3209590, an initial dose will be determined using Table 1 for Algorithm 1 (see Section 10.8). The weekly dose adjustment thereafter will be based on guidance provided in Section 10.8. The doses will be administered at approximately the same time and day each week.

If a patient misses a scheduled dose of LY3209590, it should be administered as soon as possible, but no later than 3 days after the scheduled administration. If more than 3 days have elapsed since the scheduled administration, the dose should be skipped and the next injection will occur at the next scheduled day and time.

Insulin degludec should be administered at the same time each day adjusted per the modified Riddle algorithm (Section 10.8.2).

Patients who miss a dose of insulin degludec should inject their daily dose during waking hours upon discovering the missed dose. Instruct patients to ensure that at least 8 hours have elapsed between consecutive insulin degludec injections (Tresiba® USPI).

The actual date, time and dose of each dose administrations (LY3209590 and insulin degludec) will be recorded in the participant's diary (and participant's medical record when administered at the site).

For details on the titration algorithms to be used, refer to Section 10.8.

#### **6.1.2.** Special Treatment Considerations

#### 6.1.2.1. Standards of Medical Care

Investigators and other study team members are expected to treat patients according to the nationally established standards of care for diabetes management in respective participating countries, except where that treatment would be in conflict with the protocol-provided treatment requirements. If there are no local standards of care for diabetes, the investigators should follow current published standards of care from the American Diabetes Association (ADA 2016) and the European Association for Study of Diabetes (Inzucchi et al. 2015) during their patients' participation in this study. Standards of prevention of persistent hyperglycemia and ketone monitoring must be followed during the course of the study to prevent DKA.

This section provides guidance on management of episodes of hypoglycemic events and events of severe, persistent hyperglycemia. For effective implementation of measures described here, it is important that patients, and their caregivers, if applicable, be well-educated about the signs and symptoms of hyperglycemia (for example, severe thirst, dry mouth, frequent micturition, or

dry skin) and hypoglycemia (for example, intense hunger, sweating, tremor, restlessness, irritability, depression, headaches, disturbed sleep, or transient neurological disorders). Patients should be instructed to contact the investigative site in the event of severe, persistent hyperglycemia or severe hypoglycemia between study visits.

#### 6.1.2.2. Management of Increased Hypoglycemia Risk

The allowable oral antihyperglycemic medications (OAMs) are non-secretagogues; therefore, clinically relevant increases in the risk of hypoglycemia due to the OAMs are not expected in this trial. To date, effects of LY3209590 on hypoglycemia are not different as compared to other basal insulins. An objective of the study is to assess the risk of hypoglycemia in insulin naïve T2DM patients receiving LY3209590 as compared to insulin degludec.

In this study, increased risk of hypoglycemia is defined as having a single episode of severe hypoglycemia or having more than 1 episode of documented hypoglycemia within a 1-week period at any time during the treatment period. Documented hypoglycemia is defined as any time a participant reports a SMBG ≤70 mg/dL (<3.9 mmol/L) or any investigator confirmed case of severe hypoglycemia.

In cases where a patient experiences hypoglycemia as described above, to confirm the increased risk, the study sites must ensure that the patient has been fully compliant with the assigned therapeutic regimen and also that there is no evidence of other possible causes of hypoglycemia (e.g., omission of meal, unexpected increase in exercise).

Patients fulfilling the definition of increased risk of hypoglycemia should first decrease their dose of IP per the respective dosing algorithm, followed by discontinuation of IP, if needed. If the patient continues to experience hypoglycemic events after the discontinuation of IP, the investigator should discontinue the patient from OAM therapy.

# 6.1.2.3. Management of Patients with Severe, Persistent Hyperglycemia During the Treatment Period

An additional therapeutic intervention should be considered in patients who develop severe, persistent hyperglycemia after randomization based on the following criteria (FDA 2008):

- a) average FG >270 mg/dL (>15.0 mmol/L) over any 2-week period or longer during the first 6 weeks postrandomization; or
- b) average FG >240 mg/dL (>13.3 mmol/L) over any 2-week period or longer from Week 6 to Week 12 postrandomization; or
- c) average FG >200 mg/dL (>11.1 mmol/L) over any 2-week period or longer after Week 12.

Investigators should first confirm that the patient does not have an acute condition causing severe hyperglycemia and, after the first 12 weeks of the study, that the patient is fully compliant with the assigned therapeutic regimen. The investigator will decide, in consultation with the patient, on an appropriate glucose-lowering intervention (rescue intervention) after considering relevant clinical criteria. See table in Section 6.5 for allowed medications. Patients who receive a new intervention for hyperglycemia management should also continue administering IP for the remaining period in the trial.

#### 6.1.3. Medical Devices

The sponsor will provide marketed blood glucometers and syringes for subcutaneous injection.

## 6.2. Preparation/Handling/Storage/Accountability

The investigator or designee must confirm appropriate temperature conditions have been maintained during transit for all study intervention received and any discrepancies are reported and resolved before use of the study intervention.

Only participants enrolled in the study may receive study intervention and only authorized site staff may supply or administer study intervention. All study intervention must be stored in a secure, environmentally controlled, and monitored (manual or automated) area in accordance with the labeled storage conditions with access limited to the investigator and authorized site staff.

The investigator, institution, or the head of the medical institution (where applicable) is responsible for study intervention accountability, reconciliation, and record maintenance (i.e., receipt, reconciliation, and final disposition records).

Further guidance and information for the final disposition of unused study interventions will be provided by the sponsor.

The study site must store all study interventions in a locked and secure environment.

Investigators should consult the study drug information provided in the Pharmacy Instructions provided by the sponsor or label for the specific administration information (including warnings).

## 6.3. Measures to Minimize Bias: Randomization and Blinding

This is an open-label study; thus, it will be unblinded. However, the specific intervention to be taken by a participant will be assigned using an interactive web—response system (IWRS). The site will contact the IWRS prior to the start of study intervention administration for each participant. The site will record the intervention assignment in the appropriate data capture tool. Potential bias will be reduced by the following steps: central randomization, stratification, blinded adjudication for CV endpoints.

Patients who meet all criteria for enrollment will be randomized to treatment at Visit 3. Assignment to treatment groups will be determined by a computer-generated random sequence using the IWRS. The IWRS will be used to assign IP to each patient. Site personnel will confirm that they have located the correct IP by entering a confirmation number found on the IP label into the IWRS.

To achieve between-group comparability for site factor, the randomization will be stratified by baseline HbA1c (<8.5%,  $\ge8.5\%$ ), DPPIV (yes/no), SGLT2 (yes/no), country, and baseline BMI (<30,  $\ge30$ ). The randomization scheme will be performed using IWRS, which will ensure balance between treatment groups.

## **6.4.** Study Intervention Compliance

The assessment of treatment compliance with IP (LY3209590 or insulin degludec) will be determined by the following:

- Information about the once weekly IP injections administered at home by the patient will be entered into the patient diary by the patient and reviewed by the site personnel at each study visit; this information will be collected in the electronic study diary;
- IP accountability will be checked according to the Schedule of Activities (Section 1.3). Study participants will be instructed to return all dispensed vials, any *unused* pens and/or all empty cartons at the next visit to the study site for the purpose of performing drug accountability.

Other aspects of compliance will also be assessed at each visit, including the patient's adherence to the visit schedule, compliance with the concomitant OAM requirements and other medication guidances (Section 6.5), completion of study diary, results of SMBG, and any other parameters the investigator considers necessary. Patients considered to be poorly compliant with their medications and/or study procedures (for example, missed visits or specific diagnostic tests) will receive additional training and instructions, as required.

When participants are dosed at the site, they will receive IP directly from the investigator or designee, under medical supervision. The date and time of each dose administered in the clinic will be recorded in the source documents and recorded in the diary.

When participants administer study treatment at home, compliance with study treatment will be assessed at each visit. Compliance will be assessed by direct questioning, counting returned pens, etc. during the site visits.

A record of the number of the pens and study medications dispensed to and taken by each participant must be maintained and reconciled with study intervention and compliance records. Intervention start and stop dates, including dates for intervention delays and/or dose reductions will also be recorded in the eCRF.

## **6.5.** Concomitant Therapy

Any medication or vaccine (including over-the-counter or prescription medicines, vitamins, and/or herbal supplements or other specific categories of interest) that the participant is receiving at the time of enrollment or receives during the study must be recorded in the eCRF along with:

- Reason for use
- Dates of administration including start and end dates, and
- Dosage information including dose and frequency for concomitant therapy of special interest.

In addition, for permitted concomitant glucose-lowering agents, the dosage will also be documented and collected.

Lilly Medical should be contacted if there are any questions regarding concomitant or prior therapy.

Participants will be permitted to use concomitant medications that they require during the study, except prohibited medications described in Section 5.2 and Sections 6.5.1 through 6.5.4 below. In addition, certain permitted medications (for example, treatments for blood pressure or dyslipidemia) described in Sections 6.5.5 and 6.5.6 should be continued, but not changed, during the study.

Investigative site staff will inform patients that they must consult with the investigator or a designated site staff member upon being prescribed any new medications during the study, except when initiated for treatment of medical emergencies.

Non-study medications taken by patients who are screened but not randomized will not be reported to Lilly unless an SAE or AE occurs that the investigator believes may have been caused by a study procedure.

The table below provides a summary of criteria for use of concomitant medications that may interfere with planned assessments during the study.

Criteria for Use of Concomitant Medications that may Interfere with Efficacy and Safety Assessments in Study BDCL

		Conditions for Use After Randomization		
Drug Class	Use During Screening/Lead-In	Acute Therapy <sup>a</sup>	Rescue Therapy	During Safety Follow-up Period
Drugs with approved weight loss indication <sup>b</sup>	Prohibited	N	N/A	N
Systemic glucocorticoid therapy <sup>c</sup>	Excluded except for acute therapy <sup>a</sup>	Y	N/A	N
Antihyperglycemia medications				
GLP-1 RAs	Prohibited	N	N	Y
DPP-4 inhibitors	Permitted	N	Y	Y
SGLT2 inhibitors	Permitted	N	Y	Y
SC Insulins and insulin	Prohibited except for	Y	Y	Y
mixtures	acute therapya			
Inhaled insulins	Prohibited	N	N	N
Meglitinides	Prohibited	N	Y	Y
Alpha-glucosidase inhibitors	Prohibited	N	N	Y
Sulfonylureas	Prohibited	N	Y	Y
Thiazolidinediones	Prohibited	N	N	N
Metformin <sup>d</sup>	Required	N	Ye	Y

Abbreviations: DPP-4 = dipeptidyl peptidase-4; GLP-1 RA = glucagon like peptide-1 receptor agonist; N = no; N/A = not applicable; SC = Subcutaneous; SGLT2 = sodium-glucose cotransporter 2; Y = Yes.

- a Acute therapy = treatment for up to 14 days.
- b Includes Saxenda® (liraglutide 3.0 mg), Xenical® (orlistat), Meridia® (sibutramine), Sanorex® (mazindol), Adipex-P® (phentermine), Belviq® (lorcaserin), Qsymia® (phentermine/topiramate combination), Contrave® (naltrexone/bupropion) or similar other body weight loss medications including over-the-counter medications (e.g., allī® [orlistat 60 mg capsules]).
- c Does not apply to topical, intraocular, intranasal, intraarticular, or inhaled preparations;
- d Switching metformin manufacturers is allowed, as long as the dosage is the same. Changing to a metformin formulation with a different action profile (i.e., from short-acting to long-acting metformin) is not permitted.
- <sup>e</sup> For rescue therapy, metformin dose may be increased if the dose is below maximum approved dose per country-specific label.

#### 6.5.1. Antihyperglycemia Medications

The only glucose-lowering agents allowed as rescue therapy during the study are concomitant metformin, SLGT-2 inhibitors, DPP-4 inhibitors, meglitinides, sulfonylureas and insulin. In addition, short-term insulin use (up to 14 days) for management of medical emergencies is allowed prior to study entry and during the study. Rescue therapy with other glucose-lowering agents may be medically indicated in certain situations after randomization (see table in Section 6.5). These situations are described in Section 6.1.2.3 (severe, persistent hyperglycemia) and in Section 7.1.1 (permanent discontinuation of IP).

If any new glucose-lowering medication is initiated after randomization at Visit 3, other than IP, rescue therapy, or short-term use of insulin for medical emergencies, the patient will be required to immediately discontinue the medication and the appropriate study deviation report will be generated. Any such violation of the protocol that lasts longer than 14 days will exclude the patient from the per-protocol population for analyses.

## 6.5.2. Concomitant Antihyperglycemia Medication

Patients treated with metformin in this study must be on a stable dose for at least 3 months prior to screening. The prescreening dose and formulation (short-acting or long-acting) must be maintained from the time of screening through the patient's last visit, except as required for rescue therapy (Section 6.1.2.3).

Dose adjustments of allowable OAM therapy are permitted after randomization (during the treatment period) under the following circumstances:

- in situations that require short-term treatment interruption in line with the product labeling for each respective country;
- in situations that require dose adjustment or discontinuation per country-specific label, for example, in the case of reduced eGFR;
- in the case of increased hypoglycemia risk during the treatment period (as described in Section 6.1.2.2).

Dose reduction of allowable OAM therapy during the trial should be properly documented.

In patients who require rescue therapy, dose increase of allowable OAM therapy is permitted, but the dose and the reason for change must be properly documented in the eCRF.

Guidance for treatment during the safety follow-up period is provided in Section 4.1.1.

#### 6.5.3. Medications that Promote Weight Loss

Prescription or OTC medications that promote weight loss are exclusionary if used within the 3 months prior to screening, or any time after screening (see Section 6.5). If started after screening, these medications should be stopped immediately. In addition, patients should not receive an intensive diet/exercise program with the intent of reducing body weight at any time during the study, other than the lifestyle and dietary measures for diabetes treatment (see Section 5.3).

#### 6.5.4. Systemic Glucocorticoids

Chronic systemic glucocorticoid therapy (excluding topical, intraocular, intranasal, or inhaled preparations) is exclusionary if used >14 consecutive days during the 1-month period before screening or between screening and randomization at Visit 3.

Patients treated with these medications after randomization will be excluded from the efficacy evaluable population for analyses if they receive:

- >14 days consecutive days of therapy, or
- More than one course of therapy during the study.

### 6.5.5. Antihypertensive Medications

If used, anti-hypertensive therapy should be kept stable throughout the trial to allow assessments of the effect of randomized therapies on blood pressure.

### 6.5.6. Dyslipidemia Medications

If used, dyslipidemia therapy should be kept stable throughout the trial to allow assessments of the effect of randomized therapies on lipid endpoints.

#### 6.6. Dose Modification

Dosing will be individualized based on FG from SMBG. See Section 10.8 for details of dose modification.

## 6.7. Intervention after the End of the Study

After the end of the treatment period patients should continue to monitor their FG levels. The treating physician should then make the decision based on local clinical practice, if and what additional antihyperglycemic treatment needs to be administered.

Investigational product will not be made available to patients after conclusion of the study. After IP is discontinued, an appropriate diabetes treatment regimen will be initiated by the investigator.

## 6.7.1. Treatment after Study Completion

The last study treatment administration for patients treated with LY3209590 will be at Week 25 and for patients treated with insulin degludec the evening before the last study visit at Week 26. The washout of LY3209590 may take up to 10 weeks to complete. Any appropriate antihyperglycemic treatment must therefore be instituted slowly and under regular supervision of blood glucose profiles after discontinuation of LY3209590. If another basal insulin is used as a replacement for LY3209590 or insulin degludec, insulin therapy will be instituted using the Riddle algorithm as needed based on FG (Section 10.8). Since

Therefore, a slow up-

titration of another basal insulin is necessary to prevent hypoglycemia due to overlapping insulin action. For example, no insulin would be required until the study participant's blood glucose increases to >100 mg/dL, and then daily basal insulin would be initiated and adjusted based on FG.

Study participants assigned to the insulin degludec treatment group will switch to another glucose lowering regimen per investigator guidance after the last study treatment administration. If they switch to an insulin treatment and insulin degludec is chosen, they can continue with the same dose used until Visit 20 of the study. If they switch to another basal insulin (e.g., insulin glargine), study participants should inject a daily dose, which is 20% lower than the last dose of insulin degludec used before Visit 20 and titrate under supervision of the investigator using the country-specific label for their pre-study basal insulin.

# 7. Discontinuation of Study Intervention and Participant Discontinuation/Withdrawal

## 7.1. Discontinuation of Study Intervention

Study drug may be permanently discontinued or temporarily withheld during the study.

Participants who permanently discontinue study drug early will undergo early termination procedures, which include

- an ET visit or,
- post-treatment follow-up visits (ED1, ED2) (Section 4.1.1).

The investigator will complete any AE reporting and necessary follow-up.

### 7.1.1. Permanent Discontinuation from Study Treatment

Possible reasons leading to permanent discontinuation of investigational product include, but are not limited to:

## Participant decision

- o the patient or the patient's designee, for example, parents or legal guardian requests to discontinue IP.
- **Hepatic event or liver test abnormality:** Participants who are discontinued from IP due to a hepatic event or liver test abnormality should have additional hepatic safety data collected via eCRF

Discontinuation of the IP for abnormal liver tests **should be** considered by the investigator when a participant meets one of the following conditions after consultation with the sponsor-designated medical monitor:

- o ALT or AST >8X ULN
- o ALT or AST >5X ULN for more than 2 weeks
- ALT or AST >3X ULN and total bilirubin level (TBL) >2X ULN or international normalized ratio (INR) >1.5
- ALT or AST >3X ULN with the appearance of fatigue, nausea, vomiting, right upper-quadrant pain or tenderness, fever, rash, and/or eosinophilia (>5%)
- o alkaline phosphatase (ALP) >3X ULN
- o ALP >2.5X ULN and TBL >2X ULN
- o ALP >2.5X ULN with the appearance of fatigue, nausea, vomiting, right quadrant pain or tenderness, fever, rash, and/or eosinophilia (>5%)

In addition, participants will be discontinued from the IP in the following circumstances:

• if a patient is inadvertently enrolled and it is determined that continued treatment with IP would not be medically appropriate (see Section 7.2.1)

• if a patient is diagnosed with an active or untreated malignancy (other than basal or squamous cell skin cancer, in situ carcinomas of the cervix, or in situ prostate cancer) after randomization

- if the investigator or sponsor decides that the patient should be withdrawn from IP; if the investigator decides to permanently discontinue IP because of an SAE or a clinically significant laboratory value, Lilly or its designee should be alerted immediately
- if the investigator, after consultation with Lilly Medical, determines that a systemic hypersensitivity reaction has occurred related to study drug administration, the participant should be permanently discontinued from IP.

See the Schedule of Activities for data to be collected at the time of intervention discontinuation and follow-up and for any further evaluations that need to be completed.

Patients who stop the IP permanently may receive another glucose-lowering intervention, if appropriate, and will continue participating in the trial according to the protocol to collect all planned efficacy and safety measurements.

## 7.1.2. Temporary Discontinuation

In certain situations after randomization, the investigator may need to temporarily discontinue (interrupt) IP (for example, due to an AE or a clinically significant laboratory value). The maximum time allowed for a temporary interruption of IP is 14 days. If IP interruption is due to an AE, the event is to be documented and followed according to the procedures in Section 8.3 of this protocol. Investigators should inform the sponsor that IP has been temporarily interrupted. Every effort should be made by the investigator to maintain patients on IP and to restart IP after any temporary interruption, as soon as it is safe to do so. The data related to temporary interruption of IP will be documented in source documents and entered in the eCRF.

## 7.2. Participant Discontinuation/Withdrawal from the Study

A participant will be discontinued (withdrawn) from the study in the following circumstances:

- if he or she is diagnosed with any type of diabetes mellitus other than T2DM
- at any time at his/her own request
- at the discretion of the investigator for safety, behavioral, compliance, or administrative reasons
- if the participant becomes pregnant during the study
- if enrollment in any other clinical study involving an IP or enrollment in any other type of medical research judged not to be scientifically or medically compatible with this study
- participation in the study needs to be stopped for medical, safety, regulatory, or other reasons consistent with applicable laws, regulations, and good clinical practice (GCP)
- investigator decision
  - the investigator decides that the participant should be discontinued from the study

 if the participant, for any reason, requires treatment with another therapeutic agent that has been demonstrated to be effective for treatment of the study indication, discontinuation from the study occurs prior to introduction of the new agent

- participant decision:
  - o the patient or the patient's designee, for example, parents or legal guardian requests to be withdrawn from the study.

Discontinuation is expected to be uncommon.

At the time of discontinuing from the study, if possible, an early discontinuation visit should be conducted, as shown in the Schedule of Activities (Section 1.3). See Schedule of Activities for data to be collected at the time of study discontinuation and follow-up and for any further evaluations that need to be completed. The participant will be permanently discontinued both from the study intervention and from the study at that time.

If the participant withdraws consent for disclosure of future information, the sponsor may retain and continue to use any data collected before such a withdrawal of consent. If a participant withdraws from the study, he/she may request destruction of any samples taken and not tested, and the investigator must document this in the site study records.

#### 7.2.1. Discontinuation of Inadvertently Enrolled Participants

If the sponsor or investigator identify a participant who did not meet enrollment criteria and was inadvertently enrolled, then the participant should be discontinued from study treatment unless there are extenuating circumstances that make it medically necessary for the participant to continue on study treatment. If the investigator and the sponsor clinical research physician (CRP) agree it is medically appropriate to continue, the investigator must obtain documented approval from the sponsor CRP to allow the inadvertently enrolled participant to continue in the study with or without treatment with IP. Safety follow-up is as outlined in Section 1.3 (Schedule of Activities), Section 8.3 (Adverse Events and Serious Adverse Events), and Section 8.2 (Safety Assessments) of the protocol.

## 7.3. Lost to Follow-up

A participant will be considered lost to follow-up if he or she repeatedly fails to return for scheduled visits and is unable to be contacted by the study site.

The following actions must be taken if a participant fails to return to the clinic for a required study visit:

- The site must attempt to contact the participant and reschedule the missed visit as soon as
  possible and counsel the participant on the importance of maintaining the assigned visit
  schedule and ascertain whether or not the participant wishes to and/or should continue in
  the study.
- Before a participant is deemed lost to follow-up, the investigator or designee must make every effort to regain contact with the participant (where possible, 3 telephone calls and, if necessary, a certified letter to the participant's last known mailing address or local

equivalent methods). These contact attempts should be documented in the participant's medical record.

• Should the participant continue to be unreachable, he/she will be considered to have withdrawn from the study.

Discontinuation of specific sites or of the study as a whole are handled as part of Section 10.1.

## 8. Study Assessments and Procedures

Study procedures and the study visits at which they are performed, including tolerance limits for the study visits, are listed in the Schedule of Activities (Section 1.3). Adherence to the study design requirements, including those specified in the Schedule of Activities, is essential and required for study conduct.

Efficacy and safety assessments included in this study are generally regarded as reliable and accurate with respect to the efficacy and safety assessments in individuals and populations with T2DM.

## 8.1. Efficacy Assessments

#### 8.1.1. Primary Efficacy Assessments

The primary efficacy measure is HbA1c change from baseline to Week 26.

## 8.1.2. Secondary Efficacy Assessments

Secondary efficacy assessments for this study are:

- HbA1c change from baseline to Week 12
- FG change from baseline to Weeks 12 and 26.

## 8.2. Safety Assessments

Planned time points for all safety assessments are provided in the Schedule of Activities, Section 1.3.

The following safety assessments will be evaluated as secondary objectives:

- Incidence and rate of hypoglycemia
- Incidence of treatment-emergent SAEs
- Clinical laboratory assessments with specific focus on liver aminotransferase changes.

## 8.2.1. Physical Examinations

A complete physical examination will include, at a minimum, assessments of the CV, Respiratory, Gastrointestinal and Neurological systems. Height and weight will also be measured and recorded.

Investigators should pay special attention to clinical signs related to previous serious illnesses.

Additionally, investigators should inspect injection sites during the physical examination.

#### 8.2.2. Vital Signs

For each participant, vital signs measurements should be conducted according to the Schedule of Activities (Section 1.3).

Sitting blood pressure (BP) and pulse rate will be measured using automated electronic sphygmomanometer according to the Schedule of Activities (Section 1.3). Vital sign measurements should be taken before obtaining an ECG tracing and before collection of blood

samples for laboratory testing, at visits where required (see Schedule of Activities, Section 1.3). The participant should be required to sit quietly for 5 minutes before vital sign measurements are taken. An appropriately sized cuff (cuff bladder encircling at least 80% of the arm) should be used to ensure the accuracy of BP measurements. The arm used for the BP measurement should be supported at heart level. Blood pressure should be measured consistently using the same arm throughout the study. For each parameter (pulse rate, systolic BP, and diastolic BP), 3 measurements will be taken using the same arm; the recordings should be taken at least 1 minute apart, and each measurement of sitting pulse rate and BP will be recorded in the eCRF.

#### 8.2.3. Electrocardiograms

For each patient, triplicate 12-lead digital ECGs and single safety ECGs will be collected according to the Schedule of Activities (Section 1.3). Patients must be supine for approximately 5 to 10 minutes before ECG collection and remain supine but awake during ECG collection. ECGs will be performed prior to collection of any blood samples.

ECGs will be interpreted by a qualified physician (the investigator or qualified designee) at the site as soon as possible after the time of ECG collection, and ideally while the patient is still present, to determine whether the patient meets entry criteria at the relevant visit(s) and for immediate patient management should any clinically relevant findings be identified.

Digital ECGs will be electronically transmitted to a central ECG laboratory designated by Lilly. The central ECG laboratory will perform a basic quality control check (for example, demographics and study details) then store the ECGs in a database. At a future time, the stored ECG data may be overread at the central ECG laboratory for further evaluation of machine-read measurements or to meet regulatory requirements.

The machine-read ECG intervals and heart rate may be used for data analysis and report writing purposes unless an overread of the ECGs is conducted prior to completion of the final study report (in which case the overread data would be used).

#### 8.2.4. Self-monitoring of Blood Glucose (SMBG)

At Visit 2, patients will receive plasma-equivalent glucose meters and strips. SMBG readings should be performed for monitoring patient safety (hypoglycemia) and may be checked as frequently as necessary. Patients should record all SMBG measurements in the study diary provided. Patients with missing SMBG values may need to be retrained on the importance of SMBG monitoring.

Patients will also measure FG daily and as needed to evaluate symptoms of hypoglycemia.

Patients will be instructed to perform 6-point SMBG profiles over a 24-hour period on 2 nonconsecutive days during the 7-day period prior to visits indicated in the Schedule of Activities (Section 1.3). The 6-point profile consists of pre-meal and 2-hour postprandial SMBG measurements for the morning, midday, and evening meals in 1 day. Pre-meal measurements should be taken before the patient begins eating the meal. Patients should record their glucose measurements in their study diaries and bring the diary to the investigative site at each study visit.

#### 8.2.5. Safety Monitoring

The principle investigator will monitor safety and laboratory data throughout the study and should discuss safety concerns with the sponsor immediately upon occurrence or awareness of the concern to determine whether the participant should continue or discontinue study drug.

#### 8.2.5.1. Hepatic Safety Monitoring

If a study patient experiences elevated ALT  $\geq$ 3X ULN, ALP  $\geq$ 2X ULN, or elevated TBL  $\geq$ 2X ULN, liver testing (Section 10.6) should be repeated within 3 to 5 days including ALT, AST, ALP, TBL, direct bilirubin, gamma-glutamyl transferase, and creatine kinase to confirm the abnormality and to determine if it is increasing or decreasing. If the abnormality persists or worsens, clinical and laboratory monitoring should be initiated by the investigator and in consultation with the study Lilly Medical. Monitoring of ALT, AST, TBL, and ALP should continue until levels normalize or return to approximate baseline levels.

#### **Hepatic Safety Data Collection**

Additional safety data should be collected via the eCRF if 1 or more of the following conditions occur:

- elevation of ALT to  $\geq$ 5X ULN on 2 or more consecutive blood tests
- elevated TBL to ≥2X ULN (except for cases of known Gilbert's syndrome)
- elevation of ALP to  $\ge 2X$  ULN on 2 or more consecutive blood tests
- patient discontinued from treatment due to a hepatic event or abnormality of liver tests
- hepatic event considered to be a SAE.

#### 8.2.6. Clinical Safety Laboratory Assessments

See Section 10.2 for the list of clinical laboratory tests to be performed and the Schedule of Activities (Section 1.3) for the timing and frequency.

The investigator must review the laboratory report, document this review, and record any clinically relevant changes occurring during the study in the AE section of the eCRF. The laboratory reports must be filed with the source documents. Clinically significant abnormal laboratory findings are those which are not associated with the underlying disease, unless judged by the investigator to be more severe than expected for the participant's condition.

All laboratory tests with values considered clinically significantly abnormal during participation in the study or within 6 weeks after the last dose of study intervention (that is, within the follow-up period) should be repeated until the values return to normal or baseline or are no longer considered clinically significant by the investigator or Lilly medical.

- If such values do not return to normal/baseline within a period of time judged reasonable by the investigator, the etiology should be identified and the sponsor notified.
- All protocol-required laboratory assessments, as defined in Section 10.2, must be conducted in accordance with the laboratory manual and the Schedule of Activities.

• If laboratory values from non-protocol specified laboratory assessments performed at the institution's local laboratory require a change in participant management or are considered clinically significant by the investigator (e.g., SAE or AE or dose modification), then the results must be recorded in the eCRF.

## 8.2.7. Body Weight

Body weight will be measured at prespecified time points (see Schedule of Activities, Section 1.3). Patients will be weighed in a light hospital gown or standard clinical research site scrubs at approximately the same time in the morning after an overnight fast and after evacuation of bowel and bladder contents, if possible.

Weight will be measured once at screening (non-fasting) and recorded in the source document and eCRF. At lead-in and randomization (Visit 2 and Visit 3, respectively) and all subsequent visits indicated in the Schedule of Activities (Section 1.3), weight will be measured twice, with the patient stepping off the scale between measurements.

Both weight measurements will be recorded in the source document and the eCRF. Calibrated (within 6 months prior to study start) scales should be used. The same scale should be used for all weight measurements throughout the study and should not be moved during the conduct of the study.

#### **8.3.** Adverse Events and Serious Adverse Events

AEs will be reported by the participant (or, when appropriate, by a caregiver, surrogate, or the participant's legally authorized representative).

The investigator and any qualified designees are responsible for detecting, documenting, and recording events that meet the definition of an AE or SAE and remain responsible for following up AEs that are serious, are considered related to the study intervention or study procedures, or that caused the participant to discontinue the study intervention or the study (see Section 7).

#### 8.3.1. Time Period and Frequency for Collecting AE and SAE Information

All AEs occurring after signing the ICF are recorded in the eCRF and assessed for serious criteria.

The SAE reporting to sponsor begins after the patient has signed the ICF and has received study drug. However, if an SAE occurs after signing the ICF, but prior to receiving LY3209590, it needs to be reported ONLY if it is considered reasonably possibly related to study procedures.

All SAEs will be recorded and reported to the sponsor or designee immediately and under no circumstance should this exceed 24 hours, as indicated in Section 10.3. The investigator will submit any updated SAE data to the sponsor within 24 hours of it being available.

Investigators are not obligated to actively seek AE or SAE after conclusion of the study participation. However, if the investigator learns of any SAE, including a death, at any time after a participant has been discharged from the study, and he/she considers the event to be reasonably related to the study intervention or study participation, the investigator must promptly notify the sponsor.

## 8.3.2. Method of Detecting AEs and SAEs

The method of recording, evaluating, and assessing causality of AE and SAE and the procedures for completing and transmitting SAE reports are provided in Section 10.3.

Care will be taken not to introduce bias when detecting AEs and/or SAEs. Open-ended and non-leading verbal questioning of the participant is the preferred method to inquire about AE occurrences.

## 8.3.3. Follow-up of AEs and SAEs

After the initial AE/SAE report, the investigator is required to proactively follow each participant at subsequent visits/contacts. All SAEs, and AEs of special interest (AESIs) (as defined in Section 8.3.7), will be followed until resolution, stabilization, the event is otherwise explained, or the participant is lost to follow-up (as defined in Section 7.3). Further information on follow-up procedures is provided in Section 10.3.

## **8.3.4.** Regulatory Reporting Requirements for SAEs

Prompt notification by the investigator to the sponsor of a SAE is essential so that legal obligations and ethical responsibilities towards the safety of participants and the safety of a study intervention under clinical investigation are met.

The sponsor has a legal responsibility to notify both the local regulatory authority and other regulatory agencies about the safety of a study intervention under clinical investigation. The sponsor will comply with country-specific regulatory requirements relating to safety reporting to the regulatory authority, Institutional Review Boards (IRB)/Independent Ethics Committees (IEC), and investigators.

Investigator safety reports must be prepared for suspected unexpected serious adverse reactions (SUSAR) according to local regulatory requirements and sponsor policy and forwarded to investigators as necessary.

An investigator who receives an investigator safety report describing a SAE or other specific safety information (e.g., summary or listing of SAEs) from the sponsor will review and then file it along with the IB and will notify the IRB/IEC, if appropriate according to local requirements.

#### 8.3.5. Pregnancy

Pregnancy (maternal or paternal exposure to IP) does not meet the definition of an AE. However, to fulfill regulatory requirements, any pregnancy should be reported following the SAE process described in Section 10.4 to collect data on the outcome for both mother and fetus.

Details of all pregnancies in female participants and, if indicated, female partners of male participants will be collected after the start of study intervention and until 90 days after the last dose.

If a pregnancy is reported, the investigator should inform the sponsor within 24 hours of learning of the pregnancy and should follow the procedures outlined in Section 10.4.

Abnormal pregnancy outcomes (e.g., spontaneous abortion, fetal death, stillbirth, congenital anomalies, ectopic pregnancy) are considered SAEs.

#### 8.3.6. Cardiovascular Events

Deaths and nonfatal CV AEs will be adjudicated by a committee of physicians external to Lilly with cardiology expertise. The nonfatal CV AEs to be adjudicated include: myocardial infarction; hospitalization for unstable angina; hospitalization for heart failure; coronary interventions (such as coronary artery bypass graft or percutaneous coronary intervention); and cerebrovascular events, including cerebrovascular accident (stroke) and transient ischemic attack.

### 8.3.7. Adverse Events of Special Interest

Adverse events of special interest (AESIs) for this program include:

- a. Hypoglycemia
- b. Allergic/hypersensitivity reactions

If any of these AESIs are reported, sites will be prompted to collect additional details/data.

## 8.3.7.1. Hypoglycemia

Patients will collect information on episodes of hypoglycemia starting from Visit 2 until the last study visit (Visit 801 or ET visit). For that purpose, patients will be trained about signs and symptoms of hypoglycemia, how to treat hypoglycemia, and how to collect in study diaries appropriate information for each episode of hypoglycemia according to the Schedule of Activities (Section 1.3).

Participants are encouraged to perform SMBG, whenever hypoglycemia may be suspected, either by symptoms experienced or perceived increased risk as related to dietary intake, physical activity, or inadvertent or atypical insulin dosing. All patients will be instructed to treat a SMBG ≤70 mg/dL (<3.9 mmol/L) as hypoglycemia.

Participants will be instructed to report hypoglycemia in the eDiary any time he/she experiences signs or symptoms of hypoglycemia with or without a SMBG ≤70 mg/dL (<3.9 mmol/L).

Severe hypoglycemia is defined as an event requiring assistance of another person to actively administer carbohydrate, glucagon, or other resuscitative actions. During these episodes, the participant has an altered mental status and cannot assist in his/her own care, may be semiconscious or unconscious, or experience coma with or without seizures, and may require parenteral therapy. Glucose measurements may not be available during such an event, but neurological recovery attributable to the restoration of blood glucose concentration to normal is considered sufficient evidence that the event was induced by a low blood glucose concentration ( $\leq$ 70 mg/dL [ $\leq$ 3.9 mmol/L]).

If a hypoglycemic event meets the criteria of severe as <u>confirmed</u> by the investigator, it needs to be recorded as serious on the AE eCRF and reported to Lilly as a SAE.

In each case of suspected or confirmed hypoglycemia, it is important that the event be properly categorized, the effect of the intervention be assessed, and the frequency and duration of hypoglycemia be evaluated. The role of dietary changes and physical exercise (or any other contributing factor) in the development of an event should be established. The patient should receive additional education, if deemed appropriate. Management of increased risk of hypoglycemia is described in Section 6.1.2.2.

#### 8.3.7.2. Allergic/Hypersensitivity Reactions

All allergic or hypersensitivity reactions, including injection site reactions (ISR), will be reported by the investigator as either AEs or, if any serious criterion is met, as SAEs. Symptoms of a local ISR may include erythema, induration, pain, pruritus, and edema. If an injection site event is reported, the AE will be recorded, and additional data will be provided to the sponsor in the eCRF.

Additional data, such as type of reaction and treatment received, will be collected on any AEs or SAEs that the investigator deems related to IP via an eCRF created for this purpose. The IP should be temporarily interrupted in any individual suspected of having a severe or serious allergic reaction to IP (Section 7.1.2). The IP may be restarted when/if it is safe to do so, in the opinion of the investigator. If IP is permanently discontinued, see Section 7.1.1 for procedures required in this situation.

If an ISR is reported, the information will be provided to the sponsor in the eCRF. If criteria for a SAE are met, the investigator will also complete the SAE form and urgently report the SAE.

#### 8.3.7.3. Systemic Hypersensitivity Reactions

Many drugs, but particularly biologic agents, carry the risk of systemic hypersensitivity reactions. If such a reaction occurs, additional data describing each symptom should be provided to the sponsor in the eCRF.

Sites should have appropriately trained medical staff and appropriate medical equipment available when study participants are receiving study drug. It is recommended that participants who experience a systemic hypersensitivity reaction be treated per the local standard of care.

In the case of generalized urticaria or anaphylaxis, additional samples should be collected as described in Appendix 10.9, "Recommended Laboratory Testing for Hypersensitivity Events". Laboratory results are provided to the sponsor via the central laboratory.

## 8.3.8. Product Complaints

A product complaint is any written, electronic, or oral communication that alleges a <u>deficiency</u> related to the identity, quality, durability, reliability, safety, effectiveness, or performance of a Lilly product after it is released for distribution. When the ability to use the product safely is impacted, the following are also product complaints:

- a. Deficiencies in labeling information, and
- b. Use errors for device or combination products due to ergonomic design elements of the product.

Sponsor collects product complaints on investigational products, medical devices, and drug delivery systems used in clinical studies in order to ensure the safety of study participants, monitor quality, and to facilitate process and product improvements.

Complaints are also collected on comparators and other materials supplied, as required and instructed for the study.

Participants will be instructed to contact the investigator as soon as possible if he or she has a complaint or problem with the investigational product, medical device, or delivery system, so that the situation can be assessed.

Product complaints will be reported by the investigator to the sponsor per instructions provided on the study-specific Product Complaint Form.

With each complaint related to a medical device or delivery system, the investigator will assess and indicate on the complaint form whether the product complaint could have led to an SAE had precautions not been taken.

#### 8.4. Treatment of Overdose

In the case of an accidental overdose, contact the sponsor in regards to patient management.

For participants with suspected or confirmed overdose with LY3209590, there is no specific antidote. The participant should monitor and be monitored for hypoglycemia. Treatment is supportive, depending on the participant's symptoms. The management of hypoglycemia should take into account the long duration of action of LY3209590, and if there is any concern regarding sustained glucose monitoring or nutrient access, the investigative staff should consider imposing close medical monitoring, e.g., hospitalization.

In the event of an overdose with insulin degludec, refer to the Product Label.

#### 8.5. Pharmacokinetics

At the visits and times specified in the Schedule of Activities (Section 1.3), venous blood samples will be collected to determine the plasma concentrations of LY3209590. After Visit 3, PK samples can be taken at any time during visits that require PK (Section 1.3). Note: PK sample collection is not intended for participants randomized to insulin degludec treatment.

Instructions for the collection and handling of blood samples will be provided by the sponsor. The actual date and time (24-hour clock time) of each sampling will be recorded.

All the samples will be analyzed at a laboratory designated by the sponsor and stored at a facility designated by the sponsor.

Plasma concentrations of LY3209590 will utilize a validated enzyme-linked immunosorbent assay (ELISA) method.

Bioanalytical samples collected to measure IP concentration will be retained for a maximum of 1 year following last patient visit for the study.

## 8.6. Pharmacodynamics

In addition to pharmacodynamics (PD) parameters discussed in the efficacy and safety sections, fasting free fatty acids (FFA) and triglycerides will be evaluated as PD biomarkers of lipolysis, which is a sensitive measure of peripheral insulin activity.

Samples collected to measure FFA will be identified by the patient number (coded) and retained at a facility selected by Lilly or its designee for a maximum of 2 years following last patient visit for the study at a facility selected by Lilly or its designee.

#### 8.7. Genetics

A blood sample for deoxyribonucleic acid (DNA) isolation will be collected from participants.

See Section 10.7 for information regarding genetic research and Section 10.1.11 for details about sample retention and custody.

#### 8.8. Biomarkers

Biomarker research is performed to address questions of relevance to drug disposition, target engagement, PD, mechanism of action, variability of patient response (including safety), and clinical outcome. Sample collection is incorporated into clinical studies to enable examination of these questions through measurement of biomolecules including deoxyribonucleic acid, ribonucleic acid, proteins, lipids, and other cellular elements.

Serum and plasma samples for non-pharmacogenetic biomarker research will be collected at the times specified in the Schedule of Activities (Section 1.3) where local regulations allow.

Samples will be used for research on the drug target, disease process, variable response to LY3209590 pathways associated with T2DM, mechanisms of action of LY3209590 and/or research methods or in validating diagnostic tools or assay(s) related to T2DM.

All samples will be coded with the patient number. These samples and any data generated can be linked back to the patient only by the investigator site personnel.

Samples will be retained for a maximum 15 years after the last patient visit for the study, or for a shorter period if local regulations and ethic review boards impose shorter time limits, at a facility selected by Lilly. This retention period enables use of new technologies, response to regulatory questions, and investigation of variable response that may not be observed until later in the development of LY3209590 or after LY3209590 become(s) commercially available.

## 8.9. Immunogenicity Assessments

At the visits and times specified in the Schedule of Activities (Section 1.3), venous blood samples will be collected for analysis to determine antibody production against LY3209590. Antibodies may be further characterized for cross-reactive binding to insulin. In vivo laboratory indicators for glycemic control (e.g., blood glucose, HbA1c, and/or daily insulin dose) may be utilized to detect a potential neutralizing effect of anti-drug antibodies (ADA) against LY3209590. To interpret the results of immunogenicity, a venous blood sample will be collected at the same time points to determine the plasma concentrations of LY3209590. All samples for immunogenicity should be taken predose when applicable and possible.

Treatment-emergent (TE) ADAs are defined in Section 9.4.8. If the immunogenicity sample at the last scheduled assessment or discontinuation visit is TE-ADA positive, additional samples may be taken until the signal returns to baseline (i.e., no longer TE-ADA positive) or for up to 1 year after last dose.

Samples will be retained for a maximum of 15 years after the last patient visit, or for a shorter period if local regulations and Ethical Review Boards (ERBs) allow, at a facility selected by the sponsor. The duration allows the sponsor to respond to future regulatory requests related to LY3209590. Any samples remaining after 15 years will be destroyed.

## 8.10. Health Economics

No health economic outcomes are planned.

### 9. Statistical Considerations

## 9.1. Statistical Hypotheses

The primary hypothesis is to test whether LY3209590 treatment is non-inferior to insulin degludec in HbA1c change from baseline to Week 26 in study participants who are insulin naïve T2DM, using a non-inferiority margin (NIM) of 0.4%.

## 9.2. Sample Size Determination

Approximately 250 participants will be randomized to study treatments (LY3209590 Algorithm 1 and insulin degludec) such that approximately 125 participants will be randomized to each treatment. Assuming a 20% dropout rate, approximately 200 participants will complete the study (approximately 100 participants per treatment group).

The 200 participants who complete the treatment will provide more than 80% statistical power to demonstrate the non-inferiority in the change in HbA1c from baseline to 26 weeks for LY3209590 Algorithm 1 versus insulin degludec, based on the following assumptions:

- True mean difference = 0%
- SD of 1.1%
- NIM of 0.4%
- Using 2-sided alpha level of 0.1

## 9.3. Populations for Analyses

The following populations are defined:

Population	Description	
Entered/Enrolled Population	All participants who sign the ICF	
Randomized Population	All participants assigned to treatment, regardless of whether they take any doses of study treatment, or if they took the correct treatment. The participants previously randomized to Algorithm 2 will be pooled with the participants randomized to Algorithm 1 and all of these participants will be analyzed as one treatment group of LY3209590.	
Efficacy Population	Participants in randomization population excluding the participants previously randomized to Algorithm 2.	
Safety Population	All participants randomly assigned to study treatment and who take at least 1 dose of study treatment. The participants previously randomized to Algorithm 2 will be pooled with the participants randomized to Algorithm 1 and all of these participants will be analyzed as one treatment group of LY3209590.	
Pharmacokinetic Population	All randomized participants who received at least 1 dose of LY3209590 and have at least 1 evaluable PK sample.	

Abbreviations: ICF = informed consent form; PK = pharmacokinetics.

## 9.4. Statistical Analyses

Statistical analysis of this study will be the responsibility of sponsor or its designee.

All tests of treatment effects will be conducted at a 2-sided alpha level of 0.1, unless otherwise stated, and all confidence intervals (CIs) will be given at a 2-sided 90% level.

Any change to the data analysis methods described in the protocol will require an amendment only if it changes a principal feature of the protocol. Any other change to the data analysis methods described in the protocol, and the justification for making the change, will be described in the statistical analysis plan (SAP) and the clinical study report. Additional exploratory analyses of the data will be conducted as deemed appropriate.

The SAP will include a more technical and detailed description of the statistical analyses described in this section. This section is a summary of the planned statistical analyses of the most important endpoints including primary and key secondary endpoints.

#### 9.4.1. General Considerations

Unless otherwise stated, the efficacy analyses will be conducted on efficacy analyses set (EAS) based on the Efficacy Population using the data up to the study treatment discontinuation (defined as the observed data with collection date before or at the last dose date +10 days). Sensitivity analyses for selected efficacy measures may be conducted on the Randomized Population using the data up to the study treatment discontinuation.

Unless otherwise stated, the safety analyses except for hypoglycemia will be conducted on the safety analyses set based on the Safety Population using all data collected during the study including treatment and follow-up period regardless of the treatment disposition status. The details for analyses on hypoglycemia will be documented in the SAP.

Unless otherwise specified, the baseline value used for the analyses will be the last non-missing value obtained for each participant prior to or on the date of first study IP dose (or randomization visit date if first dose date is missing). The baseline liver enzyme lab measures will be the average of all assessments prior to and at randomization visit.

#### 9.4.2. Treatment Group Comparability

## 9.4.2.1. Patient Disposition

All randomized participants who discontinue the study/treatment will be identified, and a reason for their discontinuation will be given. The reasons for study/treatment discontinuations will be listed and will be summarized by treatment. The discontinuation due to adverse event will be summarized by adverse event and treatment. The percentage of participants discontinuing from each treatment will be compared using the Fisher's exact test.

Reasons for discontinuation prior to randomization will also be summarized.

#### 9.4.2.2. Patient Characteristics

Demographic and baseline characteristics will be summarized by treatment group for Randomized Population and Efficacy Population. Categorical variables will be summarized by frequencies and percentages, and comparisons between treatment groups will be assessed using a Pearson Chi-Square test. Continuous variables will be summarized by mean and standard deviation, and comparisons between the treatment groups will be performed using a 1-way analysis of variance with treatment as the fixed effect.

#### 9.4.2.3. Concomitant Therapy

Summary of concomitant therapies will be provided by treatment group for all randomized participants.

## 9.4.2.4. Treatment Compliance

Treatment compliance will be assessed using the EAS and summarized by treatment. The incidence of missed dose and the percentage of missed dose will be evaluated.

Adherence to the dosing algorithm was assessed based on the number and percentage of investigator prescribed doses that did not follow the investigator-calculated algorithm dose and

the number and percentage of participant actual administration dose that did not follow the investigator prescribed dose.

#### 9.4.3. Primary endpoint(s)

The primary objective is to compare the HbA1c change from baseline to Week 26 between LY3209590 treatment and insulin degludec. It is intended to estimate the treatment differences in the change in HbA1c from baseline to 26 weeks if participants adhered to the treatment without intercurrent events (stop of the study medication and/or use of rescue medication). It is a type of hypothetical estimand based on International Council for Harmonisation (ICH) E9(R1). Only data before the occurrence of the intercurrent events (use of rescue medication or stop of the study medication) will be included in the analysis and the resulting missing values will be imputed implicitly in the mixed-effect model for repeated measurements (MMRM) analysis. The MMRM model will include treatment, strata (country, DPPIV [yes/no], SGLT2 [yes/no] and baseline BMI [<30, ≥30]), visit, and treatment by visit interaction as fixed factors and the baseline of the dependent variable as a continuous covariate.

As a sensitivity analysis, the treatment differences in HbA1c change from baseline to Week 26, only if patients could complete the treatment, will also be estimated. More details will be specified in the SAP.

#### 9.4.4. Secondary endpoint(s)

The following secondary efficacy outcomes will be analyzed:

- Fasting glucose and change from baseline at 12 and 26 weeks
- HbA1c change from baseline to 12 weeks

## 9.4.5. Tertiary/exploratory endpoint(s)

Refer to the SAP for analyses related to tertiary/exploratory endpoints.

## 9.4.6. Safety Analyse(s)

Unless otherwise stated, all safety analyses will be based on the safety analysis set. Safety measures include treatment exposure, adverse events, vital signs, hypoglycemic episodes, laboratory measurements, ECG and immunogenicity.

#### 9.4.6.1. Adverse Events

AEs will be summarized as TEAEs (defined as events that are newly reported after randomization or reported to worsen in severity from baseline). The incidence of participants with at least 1 TEAE and the incidence of TEAEs by preferred term and system organ class will be presented by treatment group. The frequency and percentage of participants for each preferred term will be presented. The incidence of participants with at least 1 TEAE assessed as possibly related to the investigational drug will be summarized by treatment group, in addition to the incidence of these possibly related TEAEs by preferred term. In addition, a summary of TEAEs by severity will be presented descriptively by treatment group.

Reported and adjudicated CVs will be listed by participant, and if there are a sufficient number of cases they may be summarized by treatment group.

All SAEs will be listed by participant. If a sufficient number of SAEs are reported, incidence summaries similar to incidence of TEAEs will be included.

Discontinuations due to AE will be summarized by treatment group and preferred term.

## **9.4.6.2.** Vital Signs

Descriptive statistics for the actual measurements and changes from baseline will be presented by treatment group and visit. Corresponding figures may be presented. Vital signs will be analyzed using a MMRM model.

### 9.4.6.3. Hypoglycemia Episodes

The incidence and rate per year of hypoglycemia will be presented for the periods between 0 to 12 weeks and 12 to 26 weeks, 0 to 26 weeks and the follow-up period and analyzed according to the hypoglycemia definitions described in the ADA classification system (ADA 2019). The analyses for hypoglycemia will be performed on Efficacy Population and additionally severe (level 3) hypoglycemia analyses will also be performed for the Safety Population.

## 9.4.6.4. Laboratory Measures

Summary statistics will be provided for laboratory measures by treatment group and by visit. An additional listing will be presented for all laboratory measurements that are outside the normal range.

Descriptive statistics for the laboratory analyses will be presented by treatment group and visit. Laboratory analyses with categorical responses will be summarized by visit and treatment group using frequency and percentage.

The maximum/minimum post-baseline observation (as applicable for a lab) will be compared to the baseline observation by examining the proportion of participants whose test values are within and outside the reference ranges.

## 9.4.6.5. Electrocardiograms

ECG is mainly for participant safety monitoring. Exploratory analysis may be conducted as necessary.

### 9.4.6.6. Adverse Events of Special Interest

Hypoglycemia and allergic/hypersensitivity reactions are defined as AESIs (Section 8.3.7). Descriptive statistics for the AESIs will be presented by treatment group.

#### 9.4.7. Pharmacokinetic/Pharmacodynamic Analyses

LY3209590 concentration data will be analyzed using a population PK approach via nonlinear mixed-effects modeling with the NONMEM software. The relationships between LY3209590 dose and/or concentration and efficacy, tolerability, and safety as well as biomarker endpoints will be characterized if exploratory analyses of the PD data warrant further PK/PD analyses upon review. Such analyses may include, but are not necessarily limited to, glucose, HbA1c, QTc, hypoglycemia, and vital signs.

In addition, if population PK and PK/PD models can be established, the impact of additional participant factors, such as age, weight, gender and renal function on PK and/or PD parameters, may be examined. Should antidrug antibody be detected from immunogenicity testing, its impact on LY3209590 PK or any relevant PD parameters will also be examined.

#### 9.4.8. Evaluation of Immunogenicity

The frequency and percentage of participants with preexisting ADA and with TE-ADA to LY3209590 may be tabulated. Treatment-emergent ADAs are defined as those with a titer 2-fold (1 dilution) greater than the minimum required dilution if no ADAs were detected at baseline (treatment-induced ADA) or those with a 4-fold (2 dilutions) increase in titer compared to baseline if ADAs were detected at baseline (treatment-boosted ADA).

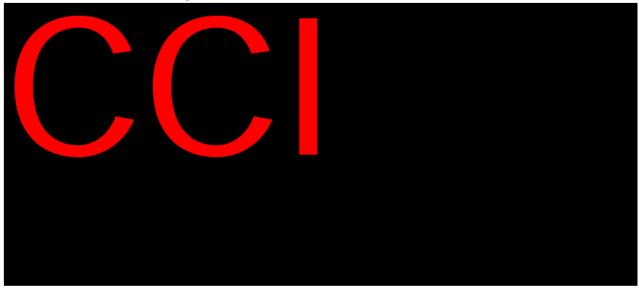
The frequency of cross-reactive binding to insulin may also be tabulated in TE ADA+ subjects, when available.

The relationship between the presence of antibodies and the PK parameters and PD response including safety and efficacy to LY3209590 may be assessed.

#### 9.4.9. Other Analyses

Detailed analysis plan for FGM will be documented in SAP.

## 9.5. Interim Analyses



## 9.6. Data Monitoring Committee (DMC)

No DMC is planned for this study.

## 10. Supporting Documentation and Operational Considerations

# 10.1. Appendix 1: Regulatory, Ethical, and Study Oversight Considerations

#### 10.1.1. Regulatory and Ethical Considerations

This study will be conducted in accordance with the protocol and with the following:

- Consensus ethical principles derived from international guidelines including the Declaration of Helsinki and Council for International Organizations of Medical Sciences (CIOMS) International Ethical Guidelines
- Applicable ICH Good Clinical Practice (GCP) Guidelines
- Applicable laws and regulations

The protocol, protocol amendments, ICF, IB, and other relevant documents (e.g., advertisements) must be submitted to an IRB/IEC by the investigator and reviewed and approved by the IRB/IEC before the study is initiated.

Any amendments to the protocol will require IRB/IEC approval before implementation of changes made to the study design, except for changes necessary to eliminate an immediate hazard to study participants.

Under emergency circumstances, deviations from this protocol to protect the rights, safety, or well-being of participants are allowed without prior approval of Lilly and the IRB/IEC. The site shall document and report these emergent deviations to Lilly and the IRB/IEC within 5 working days after the emergency occurred.

Deviation(s) from this protocol, signed 1572, applicable regulations, and any conditions of approval imposed by an IRB/IEC or applicable regulators shall be documented (including date of and reason for deviation). Site personnel must identify and report deviations to the sponsor within 5 working days.

If appropriate, actions to secure compliance may be taken by Lilly. These actions may include removal or discontinuation of study intervention materials, removal/disposal of devices from the investigator, or termination of an investigator's participation in the study.

The investigator will be responsible for the following:

- Providing written summaries of the status of the study to the IRB/IEC annually or more frequently in accordance with the requirements, policies, and procedures established by the IRB/IEC
- Notifying the IRB/IEC of SAEs or other significant safety findings as required by IRB/IEC procedures
- Providing oversight of the conduct of the study at the site and adherence to requirements of 21 CFR, ICH guidelines, the IRB/IEC, European regulation 536/2014 for clinical studies (if applicable), and all other applicable local regulations

#### 10.1.2. Financial Disclosure

Investigators and sub-investigators will provide the sponsor with sufficient, accurate financial information as requested to allow the sponsor to submit complete and accurate financial certification or disclosure statements to the appropriate regulatory authorities. Investigators are responsible for providing information on financial interests during the course of the study and for 1 year after completion of the study.

#### 10.1.3. Informed Consent Process

The investigator or his/her representative will explain the nature of the study, including the risks and benefits, to the participant or his/her legally authorized representative and answer all questions regarding the study.

Participants must be informed that their participation is voluntary. Participants or their legally authorized representative will be required to sign a statement of informed consent that meets the requirements of 21 CFR 50, local regulations, ICH guidelines, Health Insurance Portability and Accountability Act (HIPAA) requirements, where applicable, and the IRB/IEC or study center.

The medical record must include a statement that written informed consent was obtained before the participant was entered in the study and the date the written consent was obtained. The authorized person obtaining the informed consent must also sign the ICF.

Participants must be re-consented to the most current version of the ICF(s) during their participation in the study.

A copy of the ICF(s) must be provided to the participant or the participant's legally authorized representative and is kept on file.

Participants who are rescreened are required to sign a new ICF.

#### 10.1.4. Data Protection

Participants will be assigned a unique identifier by the sponsor. Any participant records, datasets or tissue samples that are transferred to the sponsor will contain the identifier only; participant names or any information which would make the participant identifiable will not be transferred.

The participant must be informed that his/her personal study-related data will be used by the sponsor in accordance with local data protection law. The level of disclosure must also be explained to the participant who will be required to give consent for their data to be used as described in the informed consent

The participant must be informed that his/her medical records may be examined by Clinical Quality Assurance auditors or other authorized personnel appointed by the sponsor, by appropriate IRB/IEC members, and by inspectors from regulatory authorities.

#### **10.1.5.** Dissemination of Clinical Study Data

Reports

The sponsor will disclose a summary of study information, including tabular study results, on publicly available websites where required by local law or regulation.

Data

The sponsor provides access to all individual participant data collected during the trial, after anonymization, with the exception of pharmacokinetic or genetic data. Data are available to request 6 months after the indication studied has been approved in the United States and European Union and after primary publication acceptance, whichever is later. No expiration date of data requests is currently set once data are made available.

Access is provided after a proposal has been approved by an independent review committee identified for this purpose and after receipt of a signed data sharing agreement. Data and documents, including the study protocol, statistical analysis plan, clinical study report, blank or annotated case report forms, will be provided in a secure data sharing environment for up to 2 years per proposal.

For details on submitting a request, see the instructions provided at www.clinicalstudydatarequest.com.

#### 10.1.6. Data Quality Assurance

All participant data relating to the study will be recorded on printed or electronic CRF unless transmitted to the sponsor or designee electronically (e.g., laboratory data). The investigator is responsible for verifying that data entries are accurate and correct by physically or electronically signing the CRF.

The investigator must maintain accurate documentation (source data) that supports the information entered in the CRF.

The investigator must permit study-related monitoring, audits, IRB/IEC review, and regulatory agency inspections and provide direct access to source data documents.

Monitoring details describing strategy (e.g., risk-based initiatives in operations and quality such as Risk Management and Mitigation Strategies and Analytical Risk-Based Monitoring), methods, responsibilities and requirements, including handling of noncompliance issues and monitoring techniques (central, remote, or on-site monitoring) are provided in the contracts.

The sponsor or designee is responsible for the data management of this study including quality checking of the data.

The sponsor assumes accountability for actions delegated to other individuals (e.g., Contract Research Organizations).

Study monitors will perform ongoing source data verification to confirm that data entered into the CRF by authorized site personnel are accurate, complete, and verifiable from source documents; that the safety and rights of participants are being protected; and that the study is being conducted in accordance with the currently approved protocol and any other study agreements, ICH GCP, and all applicable regulatory requirements.

Records and documents, including signed ICFs, pertaining to the conduct of this study must be retained by the investigator for 15 years after study completion unless local regulations or institutional policies require a longer retention period. No records may be destroyed during the retention period without the written approval of the sponsor. No records may be transferred to another location or party without written notification to the sponsor.

In addition, sponsor or its representatives will periodically check a sample of the participant data recorded against source documents at the study site. The study may be audited by sponsor or its representatives, and/or regulatory agencies at any time. Investigators will be given notice before an audit occurs.

#### **Data Capture System**

The investigator is responsible for ensuring the accuracy, completeness, legibility, and timeliness of the data reported to the sponsor.

An electronic data capture system (EDC) will be used in this study for the collection of CRF data. The investigator maintains a separate source for the data entered by the investigator or designee into the sponsor-provided EDC system. The investigator is responsible for the identification of any data to be considered source and for the confirmation that data reported are accurate and complete by signing the CRF.

Additionally, electronic Clinical Outcome Assessment (eCOA) data (participant-focused outcome instrument) will be directly recorded by the participant, caregiver, and/or investigator site personnel, into an instrument (for example, hand held smart phone or tablet). The eCOA data will serve as the source documentation and the investigator does not maintain a separate, written or electronic record of these data.

If eCOA records are stored at a third-party site, investigator sites will have continuous access to the source documents during the study and will receive an archival copy at the end of the study for retention

Data collected via the sponsor-provided data capture systems will be stored at third-parties. The investigator will have continuous access to the data during the study and until decommissioning of the data capture systems. Prior to decommissioning, the investigator will receive an archival copy of pertinent data for retention.

Data managed by a central vendor, such as laboratory test data, will be stored electronically in the central vendor's database system and reports/electronic transfers will be provided to the investigator for review and retention. Data will subsequently be transferred from the central vendor to the sponsor data warehouse.

Data from complaint forms submitted to sponsor will be encoded and stored in the global product complaint management system.

#### **Study Procedures**

Electronic Clinical Outcomes Assessment

The use of eCOA e-diaries should also allow more complete and accurate capturing of hypoglycemic events, and dosing as well as more frequent and timely interactions between investigator and patients as the intensity of their individual diabetes management increases.

Physicians and designated clinical staff will have access via the eCOA portal (secured web-based site) to blood glucose (BG) readings, hypoglycemic events, and dosing information for each patient once transferred. It is recommended that investigators review patient data via the eCOA portal at least once weekly for clinical decision making and safety monitoring. More frequent reviews may be necessary for individual patient management.

An instruction manual will be provided to patients and investigative sites. Additional instruction and training will be provided to the investigative sites regarding data collection, review, retention, and archival processes. In the event of eCOA eDiary malfunction or loss, the patient will be instructed to immediately contact the investigative site for instructions regarding replacement of the equipment.

## Hypoglycemia

Hypoglycemic events will be entered by the patient throughout the clinical study in eCOA along with date and time of the BG level if measured and hypoglycemia treatment and outcome data.

Self-Monitoring Blood Glucose

Patients will be instructed to perform Daily Fasting BG values are expected as well as 6-point SMBG according to the Schedule of Activities (Section 1.3).

#### **10.1.7. Source Documents**

Source documents provide evidence for the existence of the participant and substantiate the integrity of the data collected. Source documents are filed at the investigator's site.

Data reported on the CRF or entered in the eCRF that are transcribed from source documents must be consistent with the source documents or the discrepancies must be explained. The investigator may need to request previous medical records or transfer records, depending on the study. Also, current medical records must be available.

## 10.1.8. Study and Site Start and Closure

The sponsor designee reserves the right to close the study site or terminate the study at any time for any reason at the sole discretion of the sponsor. Study sites will be closed upon study completion. A study site is considered closed when all required documents and study supplies have been collected and a study-site closure visit has been performed.

The investigator may initiate study-site closure at any time, provided there is reasonable cause and sufficient notice is given in advance of the intended termination.

Reasons for the early closure of a study site by the sponsor or investigator may include but are not limited to:

- Failure of the investigator to comply with the protocol, the requirements of the IRB/IEC or local health authorities, the sponsor's procedures, or GCP guidelines
- Inadequate recruitment of participants by the investigator
- Discontinuation of further study intervention development

If the study is prematurely terminated or suspended, the sponsor shall promptly inform the investigators, the IECs/IRBs, the regulatory authorities, and any contract research organization(s) used in the study of the reason for termination or suspension, as specified by the applicable regulatory requirements. The investigator shall promptly inform the participant and should assure appropriate participant therapy and/or follow-up.

## 10.1.9. Publication Policy

The publication policy is described in the letters of agreement between the sponsor and the investigators and institutions.

### 10.1.10. Investigator Information

PIs who are a diabetologist, internal medicine specialist, or primary care physician with experience in the care of type 2 diabetes patients using basal insulin will participate as investigators in this clinical trial.

### 10.1.11. Long-Term Sample Retention

Sample retention enables use of new technologies, response to regulatory questions, and investigation of variable response that may not be observed until later in the development of LY3209590 or after LY3209590 becomes commercially available.

The following table lists the maximum retention period for sample types. The retention period begins after the last participant visit for the study. Any samples remaining after the specified retention period will be destroyed.

Sample Type	Custodian	Retention Period After Last Patient Visit*
Long-term storage samples	Sponsor or Designee	15 years
Biomarkers	Sponsor or Designee	15 years
PK	Sponsor or Designee	1 year
Genetics	Sponsor or Designee	15 years
PD	Sponsor or Designee	2 years
Immunogenicity	Sponsor or Designee	15 years

Abbreviations: PD = pharmacodynamics; PK = pharmacokinetics.

<sup>\*</sup>Retention periods may differ locally.

# **10.2.** Appendix 2: Clinical Laboratory Tests

Additional tests may be performed at any time during the study as determined necessary by the investigator or required by local regulations.

Investigators must document their review of each laboratory safety report.

Refer to Section 10.9 for recommended laboratory testing for hypersensitivity events.

Clinical Laboratory Testsa

Hematology Clinical Chemistry

HemoglobinSodiumHematocritPotassiumErythrocyte count (RBC)ChlorideMean cell volume (MCV)BicarbonateMean cell hemoglobin (MCH)Total bilirubinMean cell hemoglobin concentration (MCHC)Direct bilirubin

Leukocytes (WBC) Alkaline phosphatase

Neutrophils, segmented Alanine aminotransferase (ALT)
Lymphocytes Aspartate aminotransferase (AST)
Monocytes Gamma glutamyltransferase (GGT)

Eosinophils Blood urea nitrogen (BUN)

Basophils Creatinine
Platelets Uric acid
Calcium

Unicacid
Calcium

UrinalysisPhosphorusProteinGlucoseNitriteTotal proteinBloodAlbumin

Urine leukocyte esterase Creatine kinase (CK)

Cholesterol

**Hormones** Triglycerides

Pregnancy test serum and urine (females only)<sup>b</sup>

Follicle stimulating hormone

Endocrine

C-Peptide Lipid Panel

HDL cholesterol

Pancreas (Exocrine)
Pancreatic amylase

Lipase Hemoglobin A1c

Free Fatty Acid

Hepatitis B

Hepatitis B Core Antibody Hepatitis B Surface Antigen Hepatitis B Surface Antibody

Drug concentration<sup>c</sup>

LY3209590

Immunogenicity

Anti-LY3209590 Pharmacogenetic sample<sup>c</sup>

Calculation

eGFR (Calculated by CKD-EPI equation)

Non-pharmacogenetic samples<sup>c</sup>

Serum EDTA Plasma

Abbreviations: CKD-EPI = chronic kidney disease epidemiology collaboration; EDTA = Ethylenediaminetetraacetic acid; eGFR = estimated glomerular filtration rate; HDL = high density lipoprotein; LDL = low density lipoprotein; RBC = red blood cells; WBC = white blood cells.

- <sup>a</sup> All tests will be performed by a Lilly-designated central laboratory, unless otherwise noted.
- b Urine pregnancy tests (analyzed on-site) to be performed at Visits 3 and 801/ED2, and serum pregnancy test (analyzed by central laboratory) to be performed at Visits 1 and 3 for women of childbearing potential. Additional pregnancy tests may be performed at the investigator's discretion during the study.
- c Results will not be provided to the investigative sites.

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# 10.3. Appendix 3: Adverse Events: Definitions and Procedures for Recording, Evaluating, Follow-up, and Reporting

### **10.3.1. Definition of AE**

### **AE Definition**

- An AE is any untoward medical occurrence in a patient or clinical study participant, temporally associated with the use of study intervention, whether or not considered related to the study intervention.
- NOTE: An AE can therefore be any unfavorable and unintended sign (including an abnormal laboratory finding), symptom, or disease (new or exacerbated) temporally associated with the use of study intervention.

## **Events Meeting the AE Definition**

- Any abnormal laboratory test results (hematology, clinical chemistry, or urinalysis) or other safety assessments (e.g., ECG, radiological scans, vital signs measurements), including those that worsen from baseline, considered clinically significant in the medical and scientific judgment of the investigator (i.e., not related to progression of underlying disease).
- Exacerbation of a chronic or intermittent pre-existing condition including either an increase in frequency and/or intensity of the condition.
- New conditions detected or diagnosed after study intervention administration even though it may have been present before the start of the study.
- Signs, symptoms, or the clinical sequelae of a suspected drug-drug interaction.
- Signs, symptoms, or the clinical sequelae of a suspected overdose of either study intervention or a concomitant medication. Overdose per se will not be reported as an AE/SAE unless it is an intentional overdose taken with possible suicidal/self-harming intent. Such overdoses should be reported regardless of sequelae.
- Note: "Lack of efficacy" or "failure of expected pharmacological action" per se will not be reported as an AE or SAE. Such instances will be captured in the efficacy assessments. However, the signs, symptoms, and/or clinical sequelae resulting from lack of efficacy will be reported as AE or SAE if they fulfil the definition of an AE or SAE.

#### **Events NOT Meeting the AE Definition**

• Any clinically significant abnormal laboratory findings or other abnormal safety assessments which are associated with the underlying disease, unless judged by the investigator to be more severe than expected for the participant's condition.

• The disease/disorder being studied or expected progression, signs, or symptoms of the disease/disorder being studied, unless more severe than expected for the participant's condition.

- Medical or surgical procedure (e.g., endoscopy, appendectomy): the condition that leads to the procedure is the AE.
- Situations in which an untoward medical occurrence did not occur (social and/or convenience admission to a hospital).
- Anticipated day-to-day fluctuations of pre-existing disease(s) or condition(s) present or detected at the start of the study that do not worsen.

#### 10.3.2. Definition of SAE

If an event is not an AE per definition above, then it cannot be an SAE even if serious conditions are met (e.g., hospitalization for signs/symptoms of the disease under study, death due to progression of disease).

## A SAE is defined as any untoward medical occurrence that, at any dose:

#### • Results in death

# Is life-threatening

The term 'life-threatening' in the definition of 'serious' refers to an event in which the participant was at risk of death at the time of the event. It does not refer to an event, which hypothetically might have caused death, if it were more severe.

### Requires inpatient hospitalization or prolongation of existing hospitalization

- In general, hospitalization signifies that the participant has been admitted to hospital for observation and/or treatment that would not have been appropriate in the physician's office or outpatient setting. Complications that occur during hospitalization are AEs. If a complication prolongs hospitalization or fulfills any other serious criteria, the event is serious. When in doubt as to whether "hospitalization" occurred or was necessary, the AE should be considered serious.
- Hospitalization for elective treatment of a pre-existing condition that did not worsen from baseline is not considered an AE.

# Results in persistent disability/incapacity

- The term disability means a substantial disruption of a person's ability to conduct normal life functions.
- This definition is not intended to include experiences of relatively minor medical significance such as uncomplicated headache, nausea, vomiting, diarrhea, influenza, and accidental trauma (e.g., sprained ankle) which may interfere with or prevent everyday life functions but do not constitute a substantial disruption.

# Is a congenital anomaly/birth defect

#### Other situations:

Medical or scientific judgment should be exercised in deciding whether SAE reporting
is appropriate in other situations such as important medical events that may not be
immediately life-threatening or result in death or hospitalization but may jeopardize the
participant or may require medical or surgical intervention to prevent one of the other
outcomes listed in the above definition. These events should usually be considered
serious.

• Examples of such events include invasive or malignant cancers, intensive treatment in an emergency room or at home for allergic bronchospasm, blood dyscrasias or convulsions that do not result in hospitalization, or development of drug dependency or drug abuse.

# 10.3.3. Recording and Follow-Up of AE and/or SAE

#### **AE and SAE Recording**

- When an AE/SAE occurs, it is the responsibility of the investigator to review all documentation (e.g., hospital progress notes, laboratory reports, and diagnostics reports) related to the event.
- The investigator will then record all relevant AE/SAE information in the CRF.
- It is **not** acceptable for the investigator to send photocopies of the participant's medical records in lieu of completion of the AE/SAE CRF page.
- There may be instances when copies of medical records for certain cases are requested by Sponsor. In this case, all participant identifiers, with the exception of the participant number, will be redacted on the copies of the medical records before submission to Sponsor.
- The investigator will attempt to establish a diagnosis of the event based on signs, symptoms, and/or other clinical information. Whenever possible, the diagnosis (not the individual signs/symptoms) will be documented as the AE/SAE.

# **Assessment of Intensity**

The investigator will make an assessment of intensity for each AE and SAE reported during the study and assign it to 1 of the following categories:

- Mild: An event that is easily tolerated by the participant, causing minimal discomfort and not interfering with everyday activities.
- Moderate: An event that causes sufficient discomfort and interferes with normal everyday activities.
- Severe: An event that prevents normal everyday activities. An AE that is assessed as severe should not be confused with a SAE. Severe is a category utilized for rating the intensity of an event; and both AEs and SAEs can be assessed as severe.

An event is defined as 'serious' when it meets at least 1 of the predefined outcomes as described in the definition of an SAE, NOT when it is rated as severe.

#### **Assessment of Causality**

- The investigator is obligated to assess the relationship between study intervention and each occurrence of each AE/SAE.
- A "reasonable possibility" of a relationship conveys that there are facts, evidence, and/or arguments to suggest a causal relationship, rather than a relationship cannot be ruled out.
- The investigator will use clinical judgment to determine the relationship.
- Alternative causes, such as underlying disease(s), concomitant therapy, and other risk factors, as well as the temporal relationship of the event to study intervention administration will be considered and investigated.
- The investigator will also consult the Investigator's Brochure (IB) and/or Product Information, for marketed products, in his/her assessment.
- For each AE/SAE, the investigator **must** document in the medical notes that he/she has reviewed the AE/SAE and has provided an assessment of causality.
- There may be situations in which an SAE has occurred and the investigator has minimal information to include in the initial report to Sponsor. However, it is very important that the investigator always make an assessment of causality for every event before the initial transmission of the SAE data to Sponsor.
- The investigator may change his/her opinion of causality in light of follow-up information and send a SAE follow-up report with the updated causality assessment.
- The causality assessment is one of the criteria used when determining regulatory reporting requirements.

# Follow-up of AEs and SAEs

• The investigator is obligated to perform or arrange for the conduct of supplemental measurements and/or evaluations as medically indicated or as requested by Sponsor to elucidate the nature and/or causality of the AE or SAE as fully as possible. This may include additional laboratory tests or investigations, histopathological examinations, or consultation with other health care professionals.

- New or updated information will be recorded in the originally completed CRF.
- The investigator will submit any updated SAE data to Sponsor within 24 hours of receipt of the information.

# 10.3.4. Reporting of SAEs

# SAE Reporting to Sponsor via an Electronic Data Collection Tool

- The primary mechanism for reporting an SAE to Sponsor will be the electronic data collection tool.
- If the electronic system is unavailable, then the site will use the paper SAE data collection tool (see next section) in order to report the event within 24 hours.
- The site will enter the SAE data into the electronic system as soon as it becomes available.
- After the study is completed at a given site, the electronic data collection tool will be taken off-line to prevent the entry of new data or changes to existing data.
- If a site receives a report of a new SAE from a study participant or receives updated data on a previously reported SAE after the electronic data collection tool has been taken off-line, then the site can report this information on a paper SAE form (see next section) or to Lilly Medical by telephone.

## SAE Reporting to Sponsor or Designee via Paper CRF

- Facsimile transmission of the SAE paper CRF is the preferred method to transmit this information to the Lilly Medical.
- Initial notification via telephone does not replace the need for the investigator to complete and sign the SAE CRF pages within the designated reporting time frames.

# 10.4. Appendix 4: Contraceptive Guidance and Collection of Pregnancy Information

#### **Definitions:**

## Woman of Childbearing Potential (WOCBP)

A woman is considered fertile following menarche and until becoming post-menopausal unless permanently sterile (see below).

If fertility is unclear (e.g., amenorrhea in adolescents or athletes) and a menstrual cycle cannot be confirmed before first dose of study intervention, additional evaluation should be considered.

Women in the following categories are not considered WOCBP

- 1. Premenarchal
- 2. Premenopausal female with 1 of the following:
  - Documented hysterectomy
  - Documented bilateral salpingectomy
  - Documented bilateral oophorectomy

For individuals with permanent infertility due to an alternate medical cause other than the above, (e.g., mullerian agenesis, androgen insensitivity), investigator discretion should be applied to determining study entry.

Note: Documentation can come from the site personnel's: review of the participant's medical records, medical examination, or medical history interview.

- 3. Postmenopausal female is defined as, women with:
  - i. A woman at least 40 years of age with an intact uterus, not on hormone therapy, who has cessation of menses for at least 1 year without an alternative medical cause, AND a follicle-stimulating hormone >40 mIU/mL; or
  - ii. A woman 55 or older not on hormone therapy, who has had at least 12 months of spontaneous amenorrhea; or
  - iii. A woman at least 55 years of age with a diagnosis of menopause prior to starting hormone replacement therapy.

The investigator will attempt to collect pregnancy information on any male participant's female partner who becomes pregnant while the male participant is in this study. This applies only to male participants who receive study intervention.

After obtaining the necessary signed informed consent from the pregnant female partner directly, the investigator will record pregnancy information on the appropriate form and submit it to the sponsor within 24 hours of learning of the partner's pregnancy. The female partner will also be followed to determine the outcome of the pregnancy. Information on the status of the mother and child will be forwarded to the sponsor. Generally, the follow-up will be no longer than 6 to 8 weeks following the estimated delivery date. Any termination of the pregnancy will be reported regardless of fetal status (presence or absence of anomalies) or indication for the procedure.

#### Female Participants who become pregnant

The investigator will collect pregnancy information on any female participant who becomes pregnant while participating in this study. The initial information will be recorded on the appropriate form and submitted to the sponsor within 24 hours of learning of a participant's pregnancy.

The participant will be followed to determine the outcome of the pregnancy. The investigator will collect follow-up information on the participant and the neonate and the information will be forwarded to the sponsor. Generally, follow-up will not be required for longer than 6 to 8 weeks beyond the estimated delivery date. Any termination of pregnancy will be reported, regardless of fetal status (presence or absence of anomalies) or indication for the procedure.

While pregnancy itself is not considered to be an AE or SAE, any pregnancy complication or elective termination of a pregnancy for medical reasons will be reported as an AE or SAE.

A spontaneous abortion (occurring at <22 weeks gestational age) or still birth (occurring at >22 weeks gestational age) is always considered to be an SAE and will be reported as such.

Any post-study pregnancy related SAE considered reasonably related to the study intervention by the investigator will be reported to the sponsor as described in Section 8.3.4. While the investigator is not obligated to actively seek this information in former study participants, he or she may learn of an SAE through spontaneous reporting.

Any female participant who becomes pregnant while participating in the study will discontinue study intervention or be withdrawn from the study.

# 10.5. Appendix 5: Liver Safety: Suggested Actions and Follow-up Assessments

**Hepatic Monitoring Tests** 

Hepatic Hematologya	Haptoglobin <sup>a</sup>
Hemoglobin	
Hematocrit	Hepatic Coagulation <sup>a</sup>
RBC	Prothrombin Time
WBC	Prothrombin Time, INR
Neutrophils, segmented	
Lymphocytes	Hepatic Serologies <sup>a,b</sup>
Monocytes	Hepatitis A antibody, total
Eosinophils	Hepatitis A antibody, IgM
Basophils	Hepatitis B surface antigen
Platelets	Hepatitis B surface antibody
	Hepatitis B Core antibody
Hepatic Chemistrya	Hepatitis C antibody
Total bilirubin	Hepatitis E antibody, IgG
Direct bilirubin	Hepatitis E antibody, IgM
Alkaline phosphatase	
ALT	Anti-nuclear antibodya
AST	
GGT	Alkaline Phosphatase Isoenzymesa
CPK	
	Anti-smooth muscle antibody (or anti-actin antibody) <sup>a</sup>

Abbreviations: ALT = alanine aminotransferase; AST = aspirate aminotransferase; CPK = creatinine phosphokinase; GGT = gamma-glutamyl transferase; Ig = immunoglobulin; INR = international normalised ratio; RBC = red blood cells; WBC = white blood cells.

- <sup>a</sup> Assayed by Sponsor-designated or local laboratory.
- b Reflex/confirmation dependent on regulatory requirements and/or testing availability.

# 10.6. Appendix 6: New York Heart Association Cardiac Disease Classification

# **Functional Capacity**

## Class I

Study participants with cardiac disease but without resulting limitation of physical activity. Ordinary physical activity does not cause undue fatigue, palpitation, dyspnea, or anginal pain.

#### Class II

Study participants with cardiac disease resulting in slight limitation of physical activity. They are comfortable at rest. Ordinary physical activity results in fatigue, palpitation, dyspnea, or anginal pain.

#### Class III

Study participants with cardiac disease resulting in marked limitation of physical activity. They are comfortable at rest. Less than ordinary activity causes fatigue, palpitation, dyspnea, or anginal pain.

#### **Class IV**

Study participants with cardiac disease resulting in inability to carry on any physical activity without discomfort. Symptoms of heart failure or the anginal syndrome may be present even at rest. If any physical activity is undertaken, discomfort increases

1994 Revisions to Classification of Functional Capacity and Objective Assessment of Patients with Diseases of the Heart

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# 10.7. Appendix 7: Genetics

# Use/Analysis of DNA

Genetic variation may impact a participant's response to study intervention, susceptibility to, and severity and progression of disease. Variable response to study intervention may be due to genetic determinants that impact drug absorption, distribution, metabolism, and excretion; mechanism of action of the drug; disease etiology; and/or molecular subtype of the disease being treated. Therefore, where local regulations and IRB/IEC allow, a blood sample will be collected for DNA analysis from consenting participants.

DNA samples will be used for research related to study intervention or T2DM and related diseases. They may also be used to develop tests/assays including diagnostic tests related to study intervention and/or interventions of this drug class and T2DM. Genetic research may consist of the analysis of one or more candidate genes or the analysis of genetic markers throughout the genome or analysis of the entire genome (as appropriate).

The samples may be analyzed as part of a multi-study assessment of genetic factors involved in the response to study intervention or study interventions of this class to understand study disease or related conditions.

The results of genetic analyses may be reported in the clinical study report (CSR) or in a separate study summary.

The sponsor will store the DNA samples in a secure storage space with adequate measures to protect confidentiality.

The samples will be retained as described in Section 10.1.11.

# 10.8. Appendix 8: Dosing Guidance for LY3209590 and Insulin Degludec

# 10.8.1. LY3209590 Algorithm 1 (paper algorithm)

In Study BDCL, a paper dosing Algorithm 1 will be used by investigators for initiating and adjusting LY3209590 to achieve the target FG  $\leq$ 100 mg/dL ( $\leq$ 5.6 mmol/L).

In situations where study participant safety is a concern, investigators may adjust the dose of LY3209590 recommended by the dose-adjustment algorithm in Table 2; however, clinical rationale must be documented in the eCRF and Lilly Medical must be informed about this deviation and associated medical rationale. If the investigator has safety concerns about the algorithm, the investigator is to contact the Lilly CRP.

At the time of site visit, the investigator will assess the study participant's glycemic control for the previous week and, if necessary, inform the study participant about any needed dose adjustment. The dose will be based on the study participant's FG value from SMBG and hypoglycemia documented in the previous week. Adherence to the dosing algorithm provided for this study is required from Visit 3 (randomization) up to Week 25 and will be monitored periodically by the study team.

# **General Algorithm Considerations:**

- The treat-to-target FG in the algorithm is ≤100 mg/dL (<5.6 mmol/L). LY3209590 dose adjustments will be determined based on the median of the FG from SMBG of at least 3 days (up to 7 days) obtained in the previous week leading up to the visit.
- The LY3209590 dose may not be increased if any SMBG reading was documented at ≤70 mg/dL (<3.9 mmol/L) at any time in the preceding week. Dose decreases of 0.5 mg adjustment may be permitted if multiple episodes of hypoglycemia with SMBG ≤70 mg/dL (<3.9 mmol/L) were recorded, or dose decrease of 1 mg if severe hypoglycemia (requiring assistance) occurred, or if any SMBG was documented at ≤54 mg/dL (≤3.0 mmol/L) in the preceding week.
- In dosing calculations where rounding is required, round to the nearest 0.25 mg

The initial (Week 0, Visit 3) LY3209590 dose is based on the baseline median fasting glucose and body weight at Visit 3. Because of the long half-life of LY3209590, the change in fasting glucose response following the initial dose may take several weeks to reach desired response; therefore, to minimize the time needed to achieve the desired target glycemic response, the initial dose was CCl in order to achieve steady-state concentration within the first week. Directions are provided below to determine the initial dose using Table 1 and for dose adjustments at subsequent visits using Table 2. Examples for Visits 3-6 are provided in *italic text*.

#### Visit 3 (Randomization Visit):

1. Determine the initial dose by obtaining the participant's body weight and median FG in the week prior to Visit 3 and consulting Table 1.

For example, if a participant weights 83 kg and has a median FG of 185 mg/dl, according to Table 1, the initial dose of LY3209590 is 10.5 mg.

Table 1.	Determination of Initial Dose <sup>a</sup> of LY3209590 at Visit 3 using Median of
	Baseline Fasting Glucose and Body Weight

Median Baseline	Median Baseline		Body Weight	(kg) at Visit 3	
Fasting Glucose (mg/dL)	Fasting Glucose <sup>b</sup> (mmol/L)	≤80	80.1 – 100	100.1 – 120	≥120.1
≤140	≤7.7	3 mg	4.5 mg	6 mg	7.5 mg
141 - 180	7.8 - 10.0	6 mg	7.5 mg	10.5 mg	12 mg
181 - 220	10.1 – 12.2	9 mg	10.5 mg	12 mg	13.5 mg
>220	>12.2	12 mg	13.5 mg	15 mg	16.5 mg

The initial dose reflects the 3-fold increase needed to reduce the time to target glycemic response and should ONLY be administered once at Visit 3.

# Visit 4 (Week 1)

1. Calculate the total weekly dose LY3209590 mg from the dose administered at Visit 3. (this is CC the dose administered at Visit 3)

From the Visit 3 example, the LY3209590 total weekly dose is CC

2. Use Table 2 to determine the dose adjustment needed for Visit 5 after carefully reviewing participant's fasting glucose (3-7 days prior to visit) and hypoglycemia documented since the last LY3209590 dose.

The participant reported no hypoglycemia since the last dose of LY3209590 and had a median FG of 175 mg/dL. Using the Week 1 (Visit 4) column, the LY3209590 weekly dose should be increased by 3 mg.

3.5 mg + 3 mg = 6.5 mg LY3209590 should be administered at Visit 4.

Table 2. Weekly Dose Adjustment of LY3209590 using the Previous Week's dose (D), Median Fasting Glucose and Hypoglycemic Episodes

Median Fasting	Median Fasting	Week 1	Week 2	Subseque	ent Week <sup>c</sup>
Glucose	Glucose	(Visit 4)	(Visit 5)	Dose ≤5 mg	Dose >5 mg
(mg/dL) <sup>a</sup>	(mmol/L) <sup>a,e</sup>	(2 <sup>nd</sup> dose)	(3 <sup>rd</sup> dose)		
<80 mg/dL or	<4.4 mmol/L or	$D^{b} - 1.5 \text{ mg}$	$D^{b} - 1.5 \text{ mg}$	D <sup>c</sup> – 1.5 mg	$D^c - 2 mg$
have any nocturnal	have any nocturnal				
hypoglycemia or	hypoglycemia or				
multiple episodes of	multiple episodes of				
hypoglycemia <sup>d</sup>	hypoglycemia <sup>d</sup>				
80 - 100  mg/dL	4.4 - 5.5	$D^b - 1 mg$	$D^{b} - 0.5 \text{ mg}$	No change	No change
101 - 140  mg/dL	5.6 - 7.7	No change	No change	$D^{c} + 0.25 \text{ mg}$	$D^c + 0.5 \text{ mg}$
141 – 180 mg/dL	7.8 - 10.0	$D^b + 3 mg$	$D^{b} + 1.5 \text{ mg}$	$D^c + 0.5 \text{ mg}$	$D^c + 1 mg$
>180 mg/dL	>10.0	$D^b + 5 \text{ mg}$	$D^b + 3 \text{ mg}$	$D^{c} + 0.75 \text{ mg}$	$D^{c} + 1.5 \text{ mg}$

b Conversions from mg/dL to mmol/L were rounded off to prevent overlap between threshold ranges and to address that the glucose meter displays 1 significant digit after the decimal when reporting mmol/L readings.

- a Based on median fasting glucose from at least 3 fasting glucose from previous week.
- b D for Week 1 (Visit 4) is of the initial dose from Table 1. D for Week 2 (Visit 5) is Week 1 (Visit 4) dose.
- c LY3209590 dose adjustment starting at Week 3 (Visit 6) will depend on whether previous (Week 2) dose (D) is ≤5 mg or >5 mg and will be adjusted weekly up to Week 12 (Visit 15), then at Week 16 (Visit 17), Week 20 (Visit 18), and Week 24 (Visit 19).
- d The LY3209590 dose may not be increased if any SMBG reading was documented at ≤70 mg/dL (<3.9 mmol/L) at any time in the preceding week. If multiple episodes of hypoglycemia with SMBG ≤70 mg/dL (<3.9 mmol/L) were recorded, follow guidance for dose reduction according to the applicable Visit number. Dose decreases of 1 mg should be made when severe hypoglycemia (requiring assistance) occurred, or if any SMBG was documented at ≤54 mg/dL (≤3.0 mmol/L) in the preceding week.</p>
- e Conversions from mg/dL to mmol/L were rounded off to prevent overlap between threshold ranges and to address that the glucose meter displays 1 significant digit after the decimal when reporting mmol/L readings.

# Visit 5 (Week 2)

1. Confirm the dose of LY3209590 mg administered at Visit 4.

From the Visit 4 example, the LY3209590 weekly dose was 6.5 mg.

2. Use Table 2 to determine the dose adjustment needed for Visit 5 after carefully reviewing participant's fasting glucose (3-7 days prior to visit) and hypoglycemia documented since the last LY3209590 dose.

The participant reported no hypoglycemia since the last dose of LY3209590 and had a median FG of 163 mg/dL. Using the Week 2 (Visit 5) column, the LY3209590 weekly dose should be increased by 1.5 mg.

6.5 mg + 1.5 mg = 8.0 mg LY3209590 should be administered at Visit 5.

# Visit 6 (Week 3)

1. Confirm the dose of LY3209590 administered at Visit 5 (Week 2).

From the Visit 5 example, the LY3209590 weekly dose was 8.0 mg.

2. Use Table 2 to determine the dose adjustment needed for Visit 6 after carefully reviewing participant's fasting glucose (3-7 days prior to visit) and hypoglycemia documented since the last LY3209590 dose. Looking at the subsequent week column, apply the prior weekly dose information to the appropriate column (≤ 5 mg or >5 mg) to obtain the dose increment.

The participant reported no hypoglycemia since the last dose of LY3209590 and had a median FG of 133 mg/dL. Using the Subsequent Week and > 5 mg dose column in Table 2, the LY3209590 dose should be <u>increased</u> by 0.5 mg.

8.0 + 0.5 = 8.5 mg of LY3209590 should be administered at Visit 6.

Subsequent visits are managed using the same approach as for Visit 6 (Week 3), always confirming the LY3209590 dose administered at the prior visit, the median fasting glucose during the week prior to the visits, and hypoglycemia status since the last dose of study drug.

# 10.8.2. Insulin Degludec

Insulin degludec will be administered at a starting dose of 10 Units. For subsequent dose adjustments, a dosing algorithm (adapted from Riddle et al. 2003) will be used by investigators

for initiating and adjusting insulin degludec to target an FG  $\leq$ 100 mg/dL ( $\leq$ 5.6 mmol/L) for patients to achieve glycemic goal.

In situations where patient safety is a concern or where dose adjustments have not had the desired therapeutic effect, investigators may make increased or decreased adjustments to the dose of insulin degludec recommended by the dose-adjustment algorithm and have to document the clinical rationale for the deviation as well as inform Lilly Medical per email.

At the time of site visit or telephone visit, the investigator will assess the patient's glycemic control for the previous week and, if necessary, inform the patient about any needed dose adjustment. The dose will be based on the patient's blood glucose value. Adherence to the dosing algorithm provided for this study is mandatory from Visit 3 (randomization) up to Visit 20 (Week 26), inclusive. Dose increases may be made at weekly intervals and no sooner than 5 days following the last dose increase. In some cases, patient visits could occur sooner than 5 days apart due to the allowable visit window; however, there should be no dose increase if at least 5 days have not elapsed since the previous dose increase. In contrast, the insulin degludec dose may be reduced at any time on the judgment of the investigator. Any deviations from this guidance must be supported by clinical evidence and accompanied by information of Lilly Medical.

Insulin dose algorithms have been reviewed by Strange (2007). The insulin degludec dose increase algorithm is adapted from Riddle et al. 2003 and will be determined based on the definition of what is termed the "Algorithm FG." The Algorithm FG is the median of the FG (determined from SMBG) of Days 5, 6, and 7 since the last insulin dose increment. If the interval since the last dose adjustment has been longer than 7 days, the dose increase is determined by the median of the FG of the last 3 days. If the patient only measured their FG on 2 of the last 3 days, then the lesser of those 2 FG values should be used as the Algorithm FG. If only 1 FG measurement is available for the last 3 days, then the investigator should use his/her discretion in determining whether there should be a dose adjustment based on that single FG value.

As it is desired to achieve glycemic goals in as many patients as possible, contingencies are provided to accommodate prespecified protocol visits and to increase the dose of insulin degludec in a timely manner. Therefore, if the last dose increment was 5 days previous, then the dose increment is determined by the Algorithm FG, which is the lesser of the 2 FG values from Day 4 and Day 5. If the dose increment was 6 days previous, then the Algorithm FG is the lesser of the 2 FG values from Day 5 and Day 6. In both of these cases, if only 1 of the 2 FG values is available, then the investigator should use his/her discretion in determining whether there should be a dose adjustment based on that single FG value.

The dose increase algorithm is defined as follows:

- If the Algorithm FG is 101 to 120 mg/dL (5.6 to 6.7 mmol/L), increase the dose by 2 U
- If the Algorithm FG is 121 to 140 mg/dL (6.8 to 7.8 mmol/L), increase the dose by 4 U
- If the Algorithm FG is 141 to 180 mg/dL (7.9 to 10.0 mmol/L), increase the dose by 6 U
- If the Algorithm FG is >180 mg/dL (10.0 mmol/L), increase the dose by 8 U

The treat-to-target FG is  $\leq 100 \text{ mg/dL}$  ( $\leq 5.6 \text{ mmol/L}$ ). The insulin degludec dose will not be increased if any SMBG was documented at  $\leq 70 \text{ mg/dL}$  ( $\leq 3.9 \text{ mmol/L}$ ) at any time in the

preceding week. Dose decreases of 2 to 4 U per adjustment may be permitted if multiple episodes of hypoglycemia with SMBG  $\leq$ 70 mg/dL ( $\leq$ 3.9 mmol/L) were recorded, if severe hypoglycemia (requiring assistance) occurred, or if any SMBG was documented at  $\leq$ 54 mg/dL ( $\leq$ 3.0 mmol/L) in the preceding week.

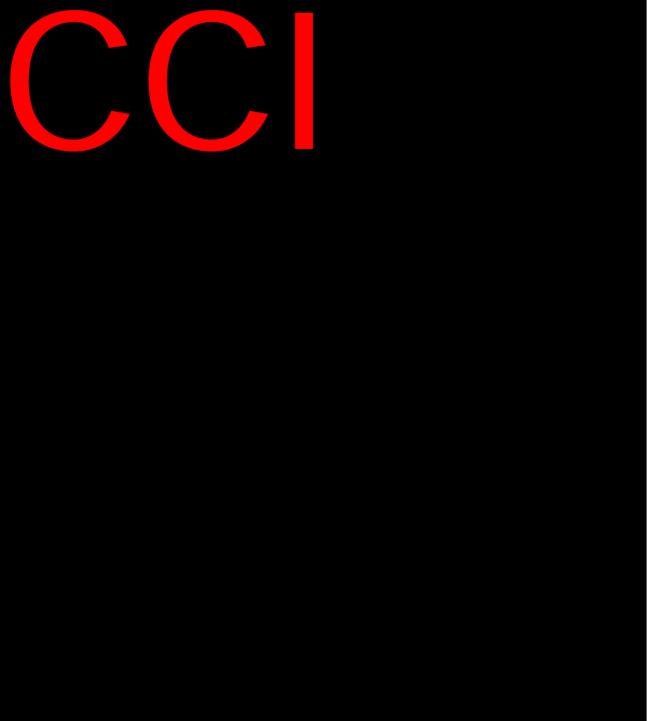
# 10.9. Appendix 9: Recommended Laboratory Testing for Hypersensitivity Events

Guidance for laboratory assessments for hypersensitivity events

Laboratory assessments should be performed if the participant experiences generalized urticaria or if anaphylaxis is suspected

- Collect sample after the participant has been stabilized, and within 1 to 2 hours of the event; however, samples may be obtained as late as 12 hours after the event as analytes can remain altered for an extended period of time. Record the time at which the sample was collected.
- Obtain a follow-up sample at the next regularly scheduled visit or after 4 weeks, whichever is later.





# 10.10. Appendix 10: Changes to Study Procedures due to the COVID-19 Pandemic or Natural Disasters

# Remote Visits during the Study

If COVID-19 or natural disaster-related travel or shelter-in place restrictions are requested by local/regional authorities or for other reasons related to the pandemic or natural disasters which make it impossible for the participant to travel to the study site for the mandatory titration visits, mitigations are required to ensure safe and timely dosing of study participants.

The study site must receive prior approval by Lilly for either site mobile visits performed by trained site personnel or visits performed by a qualified home nursing service provider organized by the sponsor, with video-conferencing ability, to exceptionally replace the on-site visits.

Protocol procedures, including blood draws, data-downloads, participant (re-) education, study drug dose selection and study drug dosing may be performed at the participants' home by qualified personnel until travel or other restrictions are lifted. Collection of ECGs is optional during mobile visits

The allowed visit windows can be extended based on advice from Lilly Medical in this exceptional case to allow more flexibility in performing the home visits.

## **Early Discontinuation Procedures**

If a participant is quarantined or contracts COVID-19 or is unable to attend the visit for other reasons during the course of the study and therefore mobile visits cannot be organized, study treatment must be discontinued early and dosing decisions for the alternative treatment are exceptionally allowed to be performed by telephone.

After termination of study treatment LY3209590 should be replaced by a non-insulin secretagogue and not by an insulin treatment to prevent hypoglycemia in this remote setting. The recommended alternative treatments are DPPIV inhibitors, SGLT2 inhibitors or GLP1 agonists. The alternative treatment should be started at the lowest recommended dose as soon as the participant's median fasting glucose rises above 140mg/dl during any 7-day period after the end of study treatment.

If the investigator sees an indication for treatment with another insulin product, a decision should be discussed with Lilly Medical.

Participants treated with insulin degludec can continue this treatment at the discretion of the investigator.

If remote or telephone visits are impossible and prohibit review of glycemic control, the participant must be hospitalized for a safe transition to an alternative antidiabetic treatment.

Participants will continue to enter their blood glucose- and hypoglycemia- information into their electronic diaries during the follow-up period to give the investigative site continued remote access to these data.

Study supplies for blood glucose monitoring as well as alternative treatments will be provided to the participant until the end of the safety follow-up period (6 weeks after the last dose of LY3209590 or 5 weeks after the last dose of insulin degludec). If circumstances permit, efforts may be made to obtain an immunogenicity blood sample after this follow-up. After the end of

the safety follow-up period, study supplies have to be returned or disposed as directed by the sponsor.

# Replacement of study participants

The dropout rate will be monitored regularly during the study. If the dropout rate is over 15% prior to completion of 12 weeks of treatment, additional participants may be enrolled to ensure approximately 200 participants complete 12 weeks of treatment.

## **Documentation**

The sites must identify and document the details of how all participants and visits were affected by the COVID-19 pandemic restrictions.

10.11. Appendix 11: Abbreviations

10.11. Appendix	x 11: Abbreviations
Term	Definition
ADA	anti-drug antibody
AE	adverse event: Any untoward medical occurrence in a patient or clinical investigation participant administered a pharmaceutical product that does not necessarily have a causal relationship with this treatment. An adverse event can therefore be any unfavorable and unintended sign (including an abnormal laboratory finding), symptom, or disease temporally associated with the use of a medicinal (investigational) product, whether or not related to the medicinal (investigational) product.
ALP	alkaline phosphatase
ALT	alanine aminotransferase
AST	aspartate aminotransferase
BG	blood glucose
ВМІ	body mass index
ВР	blood pressure
CI	confidence interval
CIOMS	Council for International Organizations of Medical Sciences
Companion diagnostic	An in vitro diagnostic device (assay or test) that provides information that is essential for the safe and effective use of a corresponding therapeutic product
complaint	A complaint is any written, electronic, or oral communication that alleges deficiencies related to the identity, quality, purity, durability, reliability, safety or effectiveness, or performance of a drug or drug delivery system.
compliance	Adherence to all study-related, good clinical practice (GCP), and applicable regulatory requirements.
CONSORT	Consolidated Standards of Reporting Trials
CRP	clinical research physician: Individual responsible for the medical conduct of the study. Responsibilities of the CRP may be performed by a physician, clinical research scientist, global safety physician or other medical officer.
cv	cardiovascular
DKA	diabetic ketoacidosis
DMC	data monitoring committee
DNA	deoxyribonucleic acid
EAS	efficacy analyses set
ECG	electrocardiogram

eCOA electronic clinical outcome assessment

eCRF electronic case report form

**EDC** electronic data capture system

**ED** early discontinuation

**eDiary** electronic diary

**eGFR** estimated glomerular filtration rate

**ELISA** enzyme-linked immunosorbent assay

**enroll** The act of assigning a participant to a treatment. Participants who are enrolled in the

study are those who have been assigned to a treatment.

**enter** Participants entered into a study are those who sign the informed consent form directly

or through their legally acceptable representatives.

**ERB** ethical review board

**ET** early termination

**FFA** Free fatty acids

**FG** fasting glucose

**FGM** flash glucose monitoring

**GCP** good clinical practice

HbA1c Hemoglobin A1c

IB Investigator's Brochure

**ICF** informed consent form

ICH International Council for Harmonisation

IMP Investigational Medicinal Product

Informed consent A process by which a participant voluntarily confirms his or her willingness to

participate in a particular study, after having been informed of all aspects of the study that are relevant to the participant's decision to participate. Informed consent is documented by means of a written, signed and dated informed consent form.

**INR** international normalized ratio

IP: investigational

product

A pharmaceutical form of an active ingredient or placebo being tested or used as a reference in a clinical trial, including products already on the market when used or assembled (formulated or packaged) in a way different from the authorized form, or marketed products used for an unauthorized indication, or marketed products used to gain further information about the authorized form.

**interim analysis** An interim analysis is an analysis of clinical study data, separated into treatment groups,

that is conducted before the final reporting database is created/locked.

IRB/IEC Institutional Review Boards/Independent Ethics Committees

**ISR** injection site reaction

**ITT** intention to treat: The principle that asserts that the effect of a treatment policy can be

best assessed by evaluating on the basis of the intention to treat a participant (that is, the

planned treatment regimen) rather than the actual treatment given. It has the consequence that participant allocated to a treatment group should be followed up, assessed, and analyzed as members of that group irrespective of their compliance to the

planned course of treatment.

**IWRS** interactive web-response system

**MAD** multiple-ascending dose

**NAFLD** non-alcoholic fatty liver disease

**NIM** non-inferiority margin

NIMP Non-investigational Medicinal Product

**OAM** oral antihyperglycemic medications

**OTC** over the counter

participant Equivalent to CDISC term "subject": an individual who participates in a clinical trial,

either as recipient of an investigational medicinal product or as a control

PC product complaint

**PK/PD** pharmacokinetics/pharmacodynamics

**PPS** per-protocol set: The set of data generated by the subset of participant who sufficiently

complied with the protocol to ensure that these data would be likely to exhibit the

effects of treatment, according to the underlying scientific model.

**PRO/ePRO** patient-reported outcomes/electronic patient-reported outcomes

QTc corrected QT interval

**SAD** single-ascending dose

**SAE** serious adverse event

**SAP** statistical analysis plan

**SC** subcutaneous

**Screen** The act of determining if an individual meets minimum requirements to become part of

a pool of potential candidates for participation in a clinical study.

**SGOT** serum glutamic oxaloacetic transaminase

**SGPT** serum glutamic pyruvic transaminase

**SMBG** self-monitoring blood glucose

**SU** sulfonylurea

**SUSARs** suspected unexpected serious adverse reactions

**T2DM** type 2 diabetes mellitus

TBL total bilirubin level

TE treatment-emergent

**TEAE** Treatment-emergent adverse event: An untoward medical occurrence that emerges

during a defined treatment period, having been absent pretreatment, or worsens relative to the pretreatment state, and does not necessarily have to have a causal relationship

with this treatment.

**ULN** upper limit of normal

**WOCBP** woman of childbearing potential

# 10.12. Appendix 12: Protocol Amendment History

The Protocol Amendment Summary of Changes Table for the current amendment is located directly before the Table of Contents (TOC).

# Amendment [c]

This amendment is considered substantial based on the criteria set forth in Article 10(a) of Directive 2001/20/EC of the European Parliament and the Council of the European Union.

#### **Overall Rationale for the Amendment:**

The amendment provides information to reflect and reinforce investigational medical device requirements absent in the initial study protocol for Algorithm 2. These requirements are consistent with country regulations where Algorithm 2 will be used.

Section # and Name	Description of Change	Brief Rationale
Section 1.1. Synopsis	Addition of language regarding	Clarify that randomization distribution may
Section 1.2. Schema	randomization	vary based on country due to removal of
Section 4.1.1. Study Visits		randomization into LY3209590 Algorithm 2
		(digital) for the European Union (EU)
Section 1.3. Schedule of	Addition of product complaint	Product complaint review was added to
Activities (SoA)	review in AE/SAE review	ensure alignment with Lilly guidance
Section 2.3. Benefit/Risk	Addition of language related to	Additions to clarify regulatory designation of
Assessment	LY3209590 Algorithm 1(paper)	LY3209590 Algorithm 1 (paper) and
	and LY3209590 Algorithm 2	LY3209590 Algorithm 2 (digital)
	(digital)	
Section 2.3.1. Protocol Risk	Addition of language for	Summarization of key risk mitigations for the
Mitigation Features for	protocol risk mitigation	protocol including investigational medical
Investigational Algorithm 2		device
Section 4.1.1. Study Visits	Updated description of	Clarification of Algorithm 2 as an
	Algorithm 2	investigational individualized accruing data
		algorithm
Section 6.1. Study	Addition of language describing	Clarification and description of algorithms
Interventions(s) Administered	the algorithms used in the study	
Section 6.1.3. Medical	Addition of language for	Algorithm 2 was added based on regulatory
Devices	Algorithm 2	feedback classification as an investigational
		medical device
Section 6.2.	Addition of language for	Description of labeling and appropriate
Preparation/Handling/Storage/	accountability and access to	access controls by authorized trained
Accountability	Algorithm 2	personnel to meet regulatory requirements
Section 8.3.8. Product	Addition of required section	Product complaint section added to align
Complaints		with Lilly template and guidance
Section 9. Statistical	Clarifications throughout	Clarifications and corrections provided to
Considerations		ensure consistency with the statistical
		analysis plan
Section 9.2. Sample Size	Revised language related to	Language added to reflect the change in
Determination	statistical power	statistical power resulting from removal of
		Algorithm 2 from the EU

Section # and Name	Description of Change	Brief Rationale
Section 10.1.1. Regulatory	Addition of language related to	Clarification of guidance during emergency
and Ethical Considerations	emergency circumstances	circumstances requiring prior approval of
		Lilly for regulatory compliance
Section 10.3.3. Definition of	Addition of section related to	Guidance on definitions and procedures for
an Unanticipated Adverse	unanticipated adverse device	handling UADE
Device Effect (UADE)	effect (UADE)	
Section 10.8.1. LY3209590	Addition of language for	Clarified guidance for investigators
Algorithm 1 (paper algorithm)	investigators	contacting Lilly Medical to be consistent
		with guidance provided for Algorithm 2
Section 10.8.2. LY3209590	Addition of language related to	Clarification for investigators on the use of
Algorithm 2 (digital	dosing and input of data for	Algorithm 2
algorithm)	Algorithm 2	
Section 10.8.3. Insulin	Addition of language related to	Dosing clarification for starting dose of
Degludec	dosing	insulin degludec
Throughout	Minor editorial and document	These are minor changes; therefore, they
	formatting revisions	have not been summarized.

# Amendment [b]: 12 Jun 2020

This amendment is considered substantial based on the criteria set forth in Article 10(a) of Directive 2001/20/EC of the European Parliament and the Council of the European Union.

#### **Overall Rationale for the Amendment:**

This provides guidance if COVID-19 related local restrictions impact the participant's ability to attend their onsite study visits as originally scheduled.

Section # and	Description of Change	Brief Rationale
Name		
Section 10.10	Added Appendix 10: Changes to Study	Mitigation if COVID-19 related restrictions
Appendix 10	Procedures due to the COVID-19	make it impossible for the participant to travel to
	Pandemic or Natural Disasters	sites for originally scheduled visits.

## Amendment [a]: 08 April 2020

This amendment is considered substantial based on the criteria set forth in Article 10(a) of Directive 2001/20/EC of the European Parliament and the Council of the European Union.

## **Overall Rationale for the Amendment:**

This amendment addresses Food and Drug Administration (FDA) feedback.

Section # and	Description of Change	Brief Rationale
Name		
1.3. Schedule of	Moved text from under 'Notes' for the	To include all information relevant to the ECG
Activities	12-lead ECG to footnote d	assessment under one footnote
1.3. Schedule of	Updated text specifying PK sample	To better reflect that PK samples were only
Activities	collection in participants randomized to	collected for participants randomized to the
	LY3209590	LY3209590 group

Section # and Name	<b>Description of Change</b>	Brief Rationale
1.3. Schedule of Activities	Deleted 'HDL, LDL' from the 'Lipid Panel' procedure	The evaluated parameters within 'Lipid Panel' are already presented in Appendix 2, and therefore were deleted from the Schedule of Activities
1.3. Schedule of Activities	Added 'X' at Visit 3 for the procedure 'Review SMBG, basal insulin dose, and hypo data to make dose individualization assessment'	Corrected an error - initial dose selection based on the diary entries between V2 and V3 is to be done at V3
1.3. Schedule of Activities	Updated footnotes d and e to accommodate the language in the notes section for the 12-lead ECG and to indicate that PK samples were not to be collected for participants in the insulin degludec treatment group	To streamline presentation of all relevant information under the footnotes and to better reflect that PK samples were only collected for participants randomized to the LY3209590 group
4.3. Justification for Dose	Deleted 'after consultation with Lilly Medical' in the statement regarding dose alterations recommended by dose adjustments algorithms, under the discretion of investigators. Added text regarding documentation required in case of deviation from the algorithm-recommended dose	Corrected an error – prior consultation with Lilly Medical is not required for the investigator for dose alterations of LY3209590
5.2. Exclusion Criteria	Updated the exclusion criteria to explicitly exclude women of child bearing potential who are not using adequate contraception, pregnant women, women intending to become pregnant, or lactating women	Per regulatory feedback
5.2. Exclusion Criteria	Updated exclusion criteria numbering due to the addition of the exclusion criteria per regulatory feedback	Update in formatting
6.1.2.1. Standards of Medical Care	Added the statement 'Standards of prevention of persistent hyperglycemia and ketone monitoring must be followed during the course of the study to prevent DKA'	Per regulatory feedback, to further clarify the steps/mitigate the risk of hyperglycemia and DKA when starting LY3209590 or transitioning to other therapies (at the end of the trial)
6.1.2.2. Management of Increased Hypoglycemia Risk	Deleted 'symptomatic' from the definition of increased risk of hypoglycemia. Added the definition of documented hypoglycemia	Per regulatory feedback
6.4. Study Intervention Compliance	Updated text regarding IP accountability	To better reflect the process for IP accountability

Section # and	Description of Change	Brief Rationale
Name		
7.1.1. Permanent Discontinuation from Study Treatment	Added text for discontinuation from following occurrence of a systemic hypersensitivity reaction	To clarify better the circumstances under which participants will be discontinued from the IP
8.3.7.1.	Deleted 'ED2' as one of the last visits	ED2 is not necessarily the last study visit if
Hypoglycemia	Befetch EB2 as one of the last visits	patient continues the study without IP
8.3.7.1.	Added text regarding the reporting of	To clarify how information regarding
Hypoglycemia	hypoglycemia	hypoglycemia is to be collected
8.3.7.1.	Deleted text describing the thresholds	These thresholds will be used for analyses, and
Hypoglycemia	for analysis	do not need to be included in the protocol. The thresholds for algorithms are updated in Section 10.8.1
8.3.7.3. Systemic Hypersensitivity Reactions	Added a section on systemic hypersensitivity reactions as adverse events of special interest	To update the protocol with systemic hypersensitivity as an adverse event of special interest
8.4. Treatment of Overdose	Updated the text to provide guidance regarding the management of overdose with LY3209590	Per regulatory feedback
8.5. Pharmacokinetics	Updated the text to clarify that PK samples were not to be collected for participants randomized to insulin degludec	To better reflect that PK samples were only collected for participants randomized to the LY3209590 group
9.4.6.3. Hypoglycemia Episodes	Added text to clarify that hypoglycemia will be analyzed according to the definitions in the ADA classification system	To explain the analysis of hypoglycemia
10.1.6. Data Quality Assurance	Replaced 'captured' with 'entered by patient' to clarify that hypoglycemic events will be entered by patients in the eCOA	To clarify the text regarding data collection for hypoglycemic events
10.2. Appendix 2	Updated the text to clarify that the investigators must document their review of each laboratory safety report and updated the footnotes	To correct errors, add information, and improve readability
10.8.1. Appendix 8	Updated the text regarding the LY3209590 algorithm 1 (paper algorithm)	Per regulatory feedback
10.9. Appendix 9	Added an appendix to describe recommended laboratory testing for hypersensitivity events	To update the protocol with systemic hypersensitivity as an adverse event of special interest
Throughout the protocol	Minor editorial and formatting changes	Minor, therefore not described

Abbreviations: ECG = electrocardiogram; eCOA = electronic Clinical Outcome Assessment; ED2 (visit) = Early Discontinuation 2 (visit); IP = investigational product; PK = pharmacokinetic.

## 11. References

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